



# **Securities Information Service**

*Catalogue of term definitions for  
Information on corporate actions*

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# **1. Catalogue of term definitions**

## **1.1. Description of term attributes**

Each term contains the following fields:

- Header: The name of the term.
- Mandatory: Shows whether the term is mandatory in the XML-file or not. Mandatory fields that are not having a valid value will be set to nil.
- Valid values: Shows the content that can be expected in the term.
- XML tag: Denotes the XML element, sometimes with examples of valid values.
- Explanation: Contains a brief explanation of the term.
- Standard: Contains information on whether a standard is used. Where no standard is specified, the VPC standard will apply.
- Deviation: The normal scenario is that a term applies to all corporate action products. If the term only applies to a particular product or products, this information is indicated here.
- Characters: Refers to the maximum number of characters, of which the information record consists.

## 2. Terms in alphabetical order

### 2.1. A

#### **Action**

Mandatory: Yes

Valid values: N=New, R=Replace, D=Delete

XML-tag: <Action></Action>

Explanation: The field explains if the information is new, replaced or deleted.

Standard:

Deviation: No deviation, the field applies to all products within corporate actions.

Characters: 1

#### **Additional text**

Mandatory: No

Valid values: Text

XML-tag: <AdditionalText></AdditionalText>

Explanation: The field contains additional text.

Standard:

Deviation: The field applies to the products CA4DEMER, CA4REVSP, CA4REDCA, CA4COPUR, CA4IPOFF and CA4ISBID.

Characters: 792

#### **Alternative choice**

Mandatory: No

Valid values: N=No or 2 to 9

XML-tag: <AlternativeChoice></AlternativeChoice>

Explanation: The code indicates whether or not part-registration of rights/unit issue is applicable and, if so, the maximum number of part-registrations to be made.

Standard:

Deviation: The field applies to the products CA1RIGIS and CA1UNIT.

Characters: 1

#### **Amount amortization**

Mandatory: No

Valid values: Currency code (text) + Amount (numbers)

XML-tag: <AmountAmortization currency></AmountAmortization>

Explanation: Amount to be amortized.

Standard:

Deviation: The field applies only to the product CA2AMORT.

Characters: Currency code 3 + Amount 15 (13,2)

**Amount and currency**

Mandatory: Yes

Valid values: Currency code (text) + Amount (numbers)

XML-tag: <Amount currency></Amount>

Explanation: Amount to be disbursed per ISIN number.

Standard:

Deviation: The field applies only to the product CA3CASHD.

Characters: Currency code 3 + Amount 15 (13,2)

**Amount and currency**

Mandatory: No

Valid values: Currency code (text) + Amount (numbers)

XML-tag: <Amount currency></Amount>

Explanation: Amount to be disbursed per ISIN number.

Standard:

Deviation: The field applies to the products CA2REDWA, CA2REDEM and CA2OTPAY.

Characters: Currency code 3 + Amount 15 (13,2)

**Annual Statement**

Mandatory: No

Valid values: J=Yes, N=No

XML-tag: <AnnualStatement></AnnualStatement>

Explanation: The code indicates whether annual statements are to be produced or not.

J=Annual statements are to be produced. N=Annual statements are not to be produced.

Standard:

Deviation: The field applies only to the product CA2OTPAY.

Characters: 1

## 2.2. C

### **Calculation date**

Mandatory: Yes

Valid values: YYYY-MM-DD

XML-tag: <CalculationDate></CalculationDate>

Explanation: The date when the exchange rate is calculated.

Standard:

Deviation: The field applies to the product CA2OTPAY. The field applies to CA3CASHD when it concerns a foreign share or an SDB.

Characters: 10

### **Centralized sale of exceeding rights and Centralized sale of non-exercised subscription rights**

Mandatory: Yes

Valid values: J=central sale will take place, N=central sale will not take place

XML-tag: <CentralizedSaleexceedingRightsnonExercisedSubscrRights></CentralizedSaleexceedingRightsnonExercisedSubscrRights>

Explanation: The code indicates whether exceeding rights and/or non-exercised

subscription rights in an issue are to be sold centrally or not.

Standard:

Deviation: The field applies to the products CA1RIGIS, CA1UNIT and CA4IPOFF.

Characters: 1 + 1

### **Centralized sale of odd rights**

Mandatory: Yes

Valid values: J=Yes, N=No

XML-tag: <CentralizedSaleOfOddRights></CentralizedSaleOfOddRights>

Explanation: The code indicates whether there is to be a central sale of odd rights or not.

Standard:

Deviation: The field applies only to the product CA4BONIS.

Characters: 1

### **Company name 10**

Mandatory: Yes

Valid values: Text

XML-tag: <CompanyName10></CompanyName10>

Explanation: Issuer's abbreviated name.

Standard:

Deviation: No deviation, the field applies to all products within corporate actions.

Characters: 10

**Company name 10 (issuing company)**

Mandatory: Yes

Valid values: Text

XML-tag: <CompanyName10></CompanyName10>

Explanation: The VPC abbreviation of the name of the issuing company in 10 characters.

Standard:

Deviation: The field applies to the products CA4DEMER and CA4IPOFF.

Characters: 10

**Company name 10 (target company)**

Mandatory: Yes

Valid values: Text

XML-tag: <CompanyName10></CompanyName10>

Explanation: The VPC abbreviation of the name of the target company in 10 characters.

Standard:

Deviation: The field applies to the products CA4DEMER, CA4IPOFF and CA4ISBID.

Characters: 10

**Compensation**

Mandatory: Yes

Valid values: J=Yes, N=No

XML-tag: <Compensation></Compensation>

Explanation: Code indicating whether compensation is to be paid out at exercise date/due date/maturity date.

Standard:

Deviation: The field applies to the products CA2REDWA and CA2AMORT.

Characters: 1

**Contact**

Mandatory: No

Valid values: Text

XML-tag: <Contact></Contact>

Explanation: Name of the person at the leading issuing agent who is responsible for the issue.

Standard:

Deviation: The field applies to the products CA1RIGIS, CA1UNIT, CA4DEMER, CA4REDCA, CA4IPOFF and CA4ISBID.

Characters: 36

**Country code**

Mandatory: No

Valid values: Text

XML-tag: <CountryCode></CountryCode>

Explanation: The domicile of a security.

Standard: International standard ISO 3166.

Deviation: The field is not active. The field applies to the products CA2INPAY, CA2AMORT, CA2REDWA, CA2REDEM, CA2OTPAY and CA3CASHD.

Characters: 2

**Cum date**

Mandatory: Yes

Valid values: YYYY-MM-DD

XML-tag: <CumDate></CumDate>

Explanation: Last date for trading in a specific security which includes the rights to e.g. dividend.

Standard:

Deviation: No deviation, the field applies to all products within corporate actions.

Characters: 10

## 2.3. D

### **Date for decision**

Mandatory: No

Valid values: YYYY-MM-DD

XML-tag: <DateForDecision></DateForDecision>

Explanation: General meeting of shareholders when decision on issue was taken.

Standard:

Deviation: The field is not active. The field applies to the products CA1RIGIS, CA1UNIT, CA4SPLIT, CA4CHSEC, CA4BONIS, CA4DEMER, CA4REVSP, CA4REDCA, CA4COPUR, CA4IPOFF and CA4ISBID.

Characters: 10

### **Date of change**

Mandatory: No

Valid values: YYYY-MM-DD

XML-tag: <DateOfChange></DateOfChange>

Explanation: Date when change of previously registered information was made.

Standard:

Deviation: No deviation, the field applies to all products within corporate actions.

Characters: 10

### **Date of (shareholders') meeting**

Mandatory: Yes

Valid values: YYYY-MM-DD

XML-tag: <DateOfMeeting></DateOfMeeting>

Explanation: Date when shareholders' meeting is to be held.

Standard:

Deviation: The field applies only to the product CA3GENME.

Characters: 10

### **Date of registration**

Mandatory: Yes

Valid values: YYYY-MM-DD

XML-tag: <DateOfRegistration></DateOfRegistration>

Explanation: Date when registration of original information was made.

Standard:

Deviation: No deviation, the field applies to all products within corporate actions.

Characters: 10

**Delisting (from trading)**

Mandatory: No

Valid values: YYYY-MM-DD

XML-tag: <DeListing></DeListing>

Explanation: 5 bank days before record date trading is closed prior to the draw.

Standard:

Deviation: The field applies only to the product CA5ORPLB.

Characters: 10

**Deregistration day (for subscription rights)**

Mandatory: No

Valid values: YYYY-MM-DD

XML-tag: <DeregistrationDay></DeregistrationDay>

Explanation: Date when non-exercised subscription or purchase rights are transferred from the investor's VPC account to that of the Issuing agent.

Standard:

Deviation: The field applies to the products CA1RIGIS and CA1UNIT.

Characters: 10

## 2.4. E

### **English issue statement**

Mandatory: Yes

Valid values: Text

XML-tag: <Statement lang="en" type="Emissionsredovisning"></Statement>

Explanation: Text from issue statement.

Standard:

Deviation: The field applies to the products CA1RIGIS and CA1UNIT.

Characters: 314

### **English order designation**

Mandatory: No

Valid values: Text

XML-tag: <EnglishOrderDesignation></EnglishOrderDesignation>

Explanation: Text explaining the payment.

Standard:

Deviation: The field applies only to the product CA2OTPAY.

Characters: 60

### **English statement**

Mandatory: No

Valid values: Text

XML-tag: <EnglishStatement></EnglishStatement>

Explanation: Text from securities statement.

Standard:

Deviation: The field applies to the products CA2OTPAY, CA3CASHD, CA4SPLIT, CA4CHSEC, CA4BONIS, CA4REDCA, CA4REVSP, CA4COPUR, CA4IPOFF and CA4ISBID.

Characters: 314 (188 CA4SPLIT, CA4CHSEC, CA4BONIS ) (192 CA2OTPAY, CA3CASHD)

### **English statement 2**

Mandatory: No

Valid values: Text

1) XML-tag: <Statement lang="en" type="Teckningsrätt tilldelning"></Statement>

2) XML-tag: <Statement lang="en" type="Uniträtt tilldelning"></Statement>

Explanation: Text from securities statement at allocation of subscription rights.

Standard:

Deviation: 1) The field applies only to the product CA1RIGIS.

2) The field applies only to the product CA1UNIT.

Characters: 190

### **English statement 3**

Mandatory: No

Valid values: Text

1) XML-tag: <Statement lang="en" type="Teckningsrätt till BTA"></Statement>

2) XML-tag: <Statement lang="en" type="Uniträtt till BTU"></Statement>

Explanation: Text from securities statement at exchange of subscription rights to paid subscription share/unit right to paid subscription unit.

Standard:

Deviation: 1) The field applies only to the product CA1RIGIS.

2) The field applies only to the product CA1UNIT.

Characters: 190

### **English statement 4**

Mandatory: No

Valid values: Text

1) XML-tag: <Statement lang="en" type="BTA till AK"></Statement>

2) XML-tag: <Statement lang="en" type="BTU till värdepapper"></Statement>

Explanation: Text from securities statement at exchange of paid subscription share to share/paid subscription unit to the securities received.

Standard:

Deviation: 1) The field applies only to the product CA1RIGIS.

2) The field applies only to the product CA1UNIT.

Characters: 190

### **Estimated day for change**

Mandatory: No

Valid values: YYYY-MM-DD

XML-tag: <EstimatedDayForChange></EstimatedDayForChange>

Explanation: Estimated time of exchange of paid subscription shares to share/paid subscription units to the securities included in the issue.

Standard:

Deviation: The field applies to the products CA1RIGIS and CA1UNIT.

Characters: 10

### **Ex date**

Mandatory: Yes

Valid values: YYYY-MM-DD

XML-tag: <ExDate></ExDate>

Explanation: First day for trading in a specific security which excludes the rights to e.g. dividend.

Standard:

Deviation: No deviation, the field applies to all products within corporate actions.

Characters: 10

**Exchange rate**

Mandatory: No

Valid values: Numbers

XML-tag: <ExchangeRate></ExchangeRate>

Explanation: Exchange rate for foreign securities and SDBs.

Standard:

Deviation: The field applies to the products CA2AMORT and CA2OTPAY. The field applies to CA3CASHD when it concerns a foreign share or an SDB.

Characters: 15 (10,5)

**Extra (subscription) period from**

Mandatory: No

Valid values: YYYY-MM-DD

XML-tag: <ExtraPeriodFrom></ExtraPeriodFrom>

Explanation: Date when the extra subscription period starts.

Standard:

Deviation: The field applies to the products CA1RIGIS, CA1UNIT and CA4ISBID. The field applies to CA4IPOFF, but is inactive.

Characters: 10

**Extra (trade) period from**

Mandatory: No

Valid values: YYYY-MM-DD

XML-tag: <ExtraPeriodFrom></ExtraPeriodFrom>

Explanation: First day for trading of subscription rights when extra trade time is available.

Standard:

Deviation: The field applies to the products CA1RIGIS and CA1UNIT. The field applies to CA4IPOFF, but is inactive.

Characters: 10

**Extra (subscription) period to**

Mandatory: No

Valid values: YYYY-MM-DD

XML-tag: <ExtraPeriodTo></ExtraPeriodTo>

Explanation: Date when the extra subscription period ends.

Standard:

Deviation: The field applies to the product CA1RIGIS, CA1UNIT and CA4ISBID. The field applies to CA4IPOFF, but is inactive.

Characters: 10

**Extra (trade) period to**

Mandatory: No

Valid values: YYYY-MM-DD

XML-tag: <ExtraPeriodTo></ExtraPeriodTo>

Explanation: Last day for trading of subscription rights when extra trade time is available.

Standard:

Deviation: The field applies to the products CA1RIGIS and CA1UNIT. The field applies to CA4IPOFF, but is inactive.

Characters: 10

## 2.5. F

### **From (subscription period from)**

Mandatory: Yes

Valid values: YYYY-MM-DD

XML-tag: <From></From>

Explanation: First date for subscribing to/reserving securities in an issue.

Standard:

Deviation: The field applies to the products CA1RIGIS, CA1UNIT, CA4IPOFF and CA4ISBID.

Characters: 10

### **From (trade period from)**

Mandatory: Yes

Valid values: YYYY-MM-DD

XML-tag: <From></From>

Explanation: The first day for trading in subscription/purchase rights.

Standard:

Deviation: The field applies to the products CA1RIGIS, CA1UNIT and CA4IPOFF.

Characters: 10

## 2.6. G

### **Gross dividend (per ISIN)**

Mandatory: No

Valid values: Numbers

XML-tag: <GrossDividend></GrossDividend>

Explanation: Gross dividend for SDB and for foreign share (ISIN <> SE).

Standard:

Deviation: The field applies to CA3CASHD when it concerns a foreign share or an SDB.

Characters: 15 (10,5)

## 2.7. I

### **ID (Issuing agent's)**

Mandatory: Yes

Valid values: Text

XML-tag: <ID></ID>

Explanation: The VPC unique, three letter code, used to identify an issuing agent. An issuing agent is the bank or the securities broker handling an issue. More than one issuing agent may be involved in the issue handling.

Standard:

Deviation: The field applies to the products CA1RIGIS, CA1UNIT, CA4DEMER, CA4REDCA, CA4IPOFF and CA4ISBID.

Characters: 3

### **Identification**

Mandatory: Yes

Valid values: Type, year and interest order no

XML-tag: <Identification></Identification>

Explanation: Code to identify interest payment.

Standard:

Deviation: The field applies only to the product CA2INPAY.

Characters: 8

### **Interest period from**

Mandatory: Yes

Valid values:YYYY-MM-DD

XML-tag: <InterestPeriodFrom></InterestPeriodFrom>

Explanation: Date when interest period commences.

Standard:

Deviation: The field applies only to the product CA2INPAY.

Characters: 10

### **Interest period to**

Mandatory: Yes

Valid values: YYYY-MM-DD

XML-tag: <InterestPeriodTo></InterestPeriodTo>

Explanation: Date when interest period ends.

Standard:

Deviation: The field applies only to the product CA2INPAY.

Characters: 10

### **Interest rate**

Mandatory: No

Valid values: Numbers

XML-tag: <InterestRate></InterestRate>

Explanation: The interest rate on a loan, specified in per cent.

Standard:

Deviation: The field applies only to the product CA2INPAY.

Characters: 7 (2,5)

**ISIN**

Mandatory: Yes

Valid values: ISIN number

XML-tag: <ISIN></ISIN>

Explanation: Security identification is achieved with an ISIN (International Security Identification Number) according to the international ISO 6166 standard. The code consists of a country code, a serial number (applies only to Swedish ISINs) and a check digit. Otherwise, the following applies: if the local number consists of fewer than nine digits, fill the field with zeros before the number so that the total number of digits to be used is nine. Standard: International standard ISO 6166.

Deviation: The field applies to the products CA2INPAY, CA2AMORT, CA3GENME and CA5ORPLB.

Characters: 12

**ISIN**

Mandatory: No

Valid values: ISIN number

XML-tag: <ISIN></ISIN>

Explanation: Security identification is achieved with an ISIN (International Security Identification Number) according to the international ISO 6166 standard. The code consists of a country code, a serial number (applies only to Swedish ISINs) and a check digit. Otherwise, the following applies: if the local number consists of fewer than nine digits, fill the field with zeros before the number so that the total number of digits to be used is nine. Standard: International standard ISO 6166.

Deviation: The field applies to the products CA1RIGIS, CA1UNIT, CA2OTPAY, CA2REDEM, CA2REDWA, CA3CASHD, CA4BONIS, CA4COPUR, CA4DEMER, CA4IPOFF, CA4ISBID, CA4REDCA, CA4REVSP and CA4SPLIT.

Characters: 12

**ISIN allotted**

Mandatory: No

Valid values: ISIN number

XML-tag: <ISINAllotted></ISINAllotted>

Explanation: ISIN that is/has been allotted.

Standard: International standard ISO 6166.

Deviation: The field applies to the products CA4SPLIT, CA4BONIS and CA4REVSP.

Characters: 12

**ISIN bonus right**

Mandatory: No

Valid values: ISIN number

XML-tag: <ISINBonusRight></ISINBonusRight>

Explanation: ISIN of a bonus right.

Standard: International standard ISO 6166.

Deviation: The field applies only to the product CA4BONIS.

Characters: 12

**Issuing agent's name**

Mandatory: Yes

Valid values: Text

XML-tag: <Name></Name>

Explanation: The full name of the issuing agent.

Standard:

Deviation: The field applies to the products CA1RIGIS, CA1UNIT, CA4DEMER, CA4REDCA, CA4IPOFF and CA4ISBID.

Characters: 60

**Issuing agent's name**

Mandatory: No

Valid values: Text

XML-tag: <IssuingAgentsName></IssuingAgentsName>

Explanation: The full name of the Issuing agent.

Standard:

Deviation: The field applies only to the product CA4BONIS.

Characters: 60

## 2.8. L

### **List of countries**

Mandatory: Yes

Valid values: Text

XML-tag: <ListOfCountries></ListOfCountries>

Explanation: The list specifies whether VPC account holders in any countries are excluded from the right to subscribe in an issue.

Standard:

Deviation: The field applies to the products CA1RIGIS, CA1UNIT and CA4IPOFF.

Characters: 60

### **Listed**

Mandatory: No

Valid values: J=Yes, N=No

XML-tag: <Listed></Listed>

Explanation: Information on whether the security is listed.

Standard:

Deviation: The field applies only to the product CA3CASHD.

Characters: 1

### **Loan**

Mandatory: Yes

Valid values: Text

XML-tag: <Loan></Loan>

Explanation: The identity of a loan.

Standard:

Deviation: The field applies only to the product CA2AMORT.

Characters: 8

### **Lottery draw day**

Mandatory: No

Valid values: YYYY-MM-DD

XML-tag: <LotteryDrawDay></LotteryDrawDay>

Explanation: Date when lottery draw is to be made/was made.

Standard:

Deviation: The field applies only to the product CA5ORPLB.

Characters: 10

**Lottery draw number**

Mandatory: Yes

Valid values: Numbers

XML-tag: <LotteryDrawNumber></LotteryDrawNumber>

Explanation: Each draw has a designated number, which is displayed in this field.

Standard:

Deviation: The field applies only to the product CA5ORPLB.

Characters: 3

**Lottery draw type**

Mandatory: Yes

Valid values: 1=ordinary draw, 2=extra draw

XML-tag: <LotteryDrawType></LotteryDrawType>

Explanation: Code to specify whether it is an ordinary draw or an extra draw.

Standard:

Deviation: The field applies only to the product CA5ORPLB.

Characters: 1

## 2.9. M

### **Method of subscription**

Mandatory: Yes

Valid values: BET=subscription by payment, ANM=subscription by application

XML-tag: <MethodOfSubscr></MethodOfSubscr>

Explanation: Code that specifies the way in which subscription can be made.

Standard:

Deviation: The field applies to the products CA1RIGIS and CA1UNIT.

Characters: 3

## 2.10. N

### **New ISIN**

Mandatory: No

Valid values: ISIN number

XML-tag: <NewISIN></NewISIN>

Explanation: ISIN of the securities received in an exchange.

Standard: International standard ISO 6166.

Deviation: The field applies only to the product CA4CHSEC.

Characters: 12

### **New nominal (par) value**

Mandatory: No

Valid values: Numbers

XML-tag: <NewNominalValue></NewNominalValue>

Explanation: The new nominal value after the corporate action event has taken place.

Standard:

Deviation: The field applies to the products CA4SPLIT and CA4REVSP.

Characters: 15 (13,2)

### **Nominal amount and currency**

Mandatory: No

Valid values: Currency code (text) + Amount (numbers)

XML-tag: <NominalAmount currency></NominalAmount>

Explanation: The nominal amount and the currency that the payment is based upon.

Standard:

Deviation: The field applies only to the product CA2OTPAY.

Characters: Currency code 3 + Amount 15 (13,2)

### **Nominal (par) value**

Mandatory: No

Valid values: Numbers

XML-tag: <NominalValue></NominalValue>

Explanation: The nominal value before the corporate action event takes place.

Standard:

Deviation: The field applies only to the product CA4REVSP.

Characters: 15 (13,2)

### **Number of days**

Mandatory: Yes

Valid values: Numbers

XML-tag: <NumberOfDays></NumberOfDays>

Explanation: Refers to the number of days in an interest period.

Standard:

Deviation: The field applies only to the product CA2INPAY.

Characters: 15

**Number of winnings**

Mandatory: No

Valid values: Numbers

XML-tag: <NumberOfWinnings></NumberOfWinnings>

Explanation: The total number of winnings.

Standard:

Deviation: The field applies only to the product CA5ORPLB.

Characters: 15

## 2.11. O

### **Old ISIN**

Mandatory: No

Valid values: ISIN number

XML-tag: <OldISIN></OldISIN>

Explanation: ISIN of the securities that have been swapped.

Standard: International standard ISO 6166.

Deviation: The field applies only to the product CA4CHSEC.

Characters: 12

### **Order no.**

Mandatory: Yes

Valid values: Numbers

XML-tag: <OrderNo></OrderNo>

Explanation: The field contains order number information.

Standard:

Deviation: No deviation, the field applies to all products within corporate actions.

Characters: 9

### **Organisation identification number**

Mandatory: Yes

Valid values: Numbers

XML-tag: <OrganisationIdNumber></OrganisationIdNumber>

Explanation: The organisation identification number of an issuer.

Standard:

Deviation: No deviation, the field applies to all products within corporate actions.

Characters: 11

### **Original currency per ISIN**

Mandatory: Yes

Valid values: Currency code

XML-tag: <OriginalCurrency></OriginalCurrency>

Explanation: The company's original (dividend) currency per ISIN.

Standard: International standard ISO 4217.

Deviation: The field applies to CA3CASHD when it concerns a foreign share or an SDB.

Characters: 3

### **Original issued amount and currency**

Mandatory: Yes

Valid values: Currency code (text) and Amount (numbers)

XML-tag: <OriginalIssuedAmount currency></OriginalIssuedAmount>

Explanation: The original amount, upon which the amortization is based.

Standard:

Deviation: The field applies only to the product CA2AMORT.

Characters: Currency code 3 + Amount 15

**Original lowest denomination**

Mandatory: Yes

Valid values: Numbers

XML-tag: <OriginalLowestDenomination></OriginalLowestDenomination>

Explanation: The original lowest denomination of a loan/security.

Standard:

Deviation: The field applies only to the product CA2AMORT.

Characters: 15

## 2.12. P

### **Payment date**

Mandatory: Yes

Valid values: YYYY-MM-DD

XML-tag: <PaymentDate></PaymentDate>

Explanation: Date when payment is to be made/was made.

Standard:

Deviation: The field applies to the product CA2INPAY. The field applies to CA2AMORT if value in tag Compensation is J=Yes.

Characters: 10

### **Payment date**

Mandatory: No

Valid values: YYYY-MM-DD

XML-tag: <PaymentDate></PaymentDate>

Explanation: For example. Date when payment is to be made/was made for subscription securities in a new issue.

Standard:

Deviation: The field applies to the products CA1RIGIS, CA1UNIT, CA2OTPAY, CA2REDWA, CA2REDEM, CA3CASHD and CA5ORPLB.

Characters: 10

### **Per cent**

Mandatory: No

Valid values: Numbers

XML-tag: <PerCent></PerCent>

Explanation: The per cent of the total amount of a security, which generates the payment. For CA2AMORT, it is the per cent of the amortization amount, which generates the payment.

Standard:

Deviation: The field applies to the products CA2REDWA, CA2REDEM, CA2AMORT and CA2OTPAY.

Characters: 15 (10,5)

### **Per cent amortization**

Mandatory: No

Valid values: Numbers

XML-tag: <PerCentAmortization></PerCentAmortization>

Explanation: The per cent of the total amount, which generates the amortization.

Standard:

Deviation: The field applies only to the product CA2AMORT.

Characters: 5 (3,2)

**Per cent winnings**

Mandatory: No

Valid values: Numbers

XML-tag: <PerCentWinnings></PerCentWinnings>

Explanation: The percent of the total amount that is paid out in the form of winnings.

Standard:

Deviation: The field applies only to the product CA5ORPLB.

Characters: 8 (3,5)

**Phone no.**

Mandatory: No

Valid values: Text

XML-tag: <PhoneNo></PhoneNo>

Explanation: Telephone number to the issuing agent.

Standard:

Deviation: The field applies to the products CA1RIGIS, CA1UNIT, CA4DEMER, CA4REDCA, CA4IPOFF and CA4ISBID.

Characters: 20

**Present issued amount and currency**

Mandatory: No

Valid values: Currency code (text) and Amount (numbers)

XML-tag: <PresentIssuedAmount currency></PresentIssuedAmount>

Explanation: The issued amount after the amortization has taken place.

Standard:

Deviation: The field applies only to the product CA2AMORT.

Characters: Currency code 3 + Amount 15

**Present lowest denomination**

Mandatory: No

Valid values: Numbers

XML-tag: <PresentLowestDenomination></PresentLowestDenomination>

Explanation: The present lowest denomination of a loan/security after the amortization has taken place.

Standard:

Deviation: The field applies only to the product CA2AMORT.

Characters: 15

## 2.13. Q

### **Quantity**

Mandatory: Yes

Valid values: Numbers

XML-tag: <Quantity></Quantity>

Explanation: The total quantity of securities that is entitled to the payment. Repurchased shares considered.

Standard:

Deviation: The field applies only to the product CA3CASHD.

Characters: 15

### **Quantity**

Mandatory: No

Valid values: Numbers

XML-tag: <Quantity></Quantity>

Explanation: The total quantity of securities that is entitled to the payment. If the security is a nominal security, the quantity in the VPC system is multiplied by 100 in this tag.

Standard:

Deviation: The field applies to the products CA2REDWA, CA2REDEM, CA4REVSP and CA2OTPAY.

Characters: 15

### **Quantity allotted**

Mandatory: Yes

Valid values: Numbers

XML-tag: <QuantityAllotted></QuantityAllotted>

Explanation: The number of securities allotted in an issue.

Standard:

Deviation: The field applies to the products CA1RIGIS, CA1UNIT, CA4SPLIT, CA4CHSEC, CA4DEMER, CA4BONIS and CA4IPOFF.

Characters: 15

### **Quantity needed**

Mandatory: Yes

Valid values: Numbers

XML-tag: <QuantityNeeded></QuantityNeeded>

Explanation: The quantity of securities required for receiving/subscribing securities in an order.

Standard:

Deviation: The field applies to the products CA1RIGIS, CA1UNIT, CA4SPLIT, CA4CHSEC, CA4DEMER, CA4REVSP, CA4BONIS and CA4IPOFF.

Characters: 5

### **Quantity of new securities**

Mandatory: No

Valid values: Numbers

XML-tag: <QuantityOfNewSecurities></QuantityOfNewSecurities>

Explanation: Total quantity of the securities after the corporate action event has taken place.

Standard:

Deviation: The field applies to the products CA4SPLIT and CA4CHSEC.

Characters: 15

### **Quantity received at subscription**

Mandatory: Yes

Valid values: Numbers

XML-tag: <QuantityReceivedAtSubscr></QuantityReceivedAtSubscr>

Explanation: The quantity of subscribed securities, obtained at subscription.

Standard:

Deviation: The field applies to the products CA1RIGIS and CA1UNIT.

Characters: 15

## 2.14. R

### **Record date**

Mandatory: Yes

Valid values: YYYY-MM-DD

XML-tag: <RecordDate></RecordDate>

Explanation: The date when registered holdings are the subject of some form of processing, e.g. reconciliation for issue, split, dividend, etc. In some cases it may be an order day.

Standard:

Deviation: No deviation, the field applies to all products within corporate actions.

Characters: 10

### **Received at allocation**

Mandatory: No

Valid values: Numbers

XML-tag: <ReceivedAtAllocation></ReceivedAtAllocation>

Explanation: Quantity or amount (of "Security to receive"), received at subscription.

Standard:

Deviation: The field is not active. The field applies to the products CA1RIGIS and CA1UNIT.

Characters: 11

### **Redemption of foreign tax**

Mandatory: Yes

Valid values: J=Yes, N=No

XML-tag: <RedemptionForeignTax></RedemptionForeignTax>

Explanation: The code shows whether any reduction of foreign tax is possible. Applies to SDBs and foreign shares (ISIN <> SE).

Standard:

Deviation: The field applies to CA3CASHD when it concerns a foreign share or an SDB.

Characters: 1

### **Registration of votes (open) from**

Mandatory: Yes

Valid values: YYYY-MM-DD

XML-tag: <RegistrationOfVotesFrom></RegistrationOfVotesFrom>

Explanation: Date when the routine for voting rights opens.

Standard:

Deviation: The field applies only to the product CA3GENME.

Characters: 10

### **Registration of votes (open) to**

Mandatory: Yes

Valid values: YYYY-MM-DD

XML-tag: <RegistrationOfVotesTo></RegistrationOfVotesTo>

Explanation: Date when the routine for voting rights closes.

Standard:

Deviation: The field applies only to the product CA3GENME.

Characters: 10

**Relisting**

Mandatory: No

Valid values: YYYY-MM-DD

XML-tag: <ReListing></ReListing>

Explanation: 2 bank days after record date, the trading recommences.

Standard:

Deviation: The field applies only to the product CA5ORPLB.

Characters: 10

**Restriction**

Mandatory: No

Valid values: J=Yes, N=No

XML-tag: <Restriction></Restriction>

Explanation: If the issuing CSD is VPCS, the field has value N. If the issuing CSD is other than VPCS (issuing CSD<>VPCS), the field has value J.

Standard:

Deviation: The field applies only to the product CA3CASHD.

Characters: 1

**Rights holder amortization**

Mandatory: No

Valid values: J=Yes, N=No

XML-tag: <RightsHolderAmortization></RightsHolderAmortization>

Explanation: The code indicates whether to observe the rights to amortization or not at payment.

Standard:

Deviation: The field applies only to the product CA2OTPAY.

Characters: 1

**Rights holder dividend interest**

Mandatory: No

Valid values: J=Yes, N=No

XML-tag: <RightsHolderDividendInterest></RightsHolderDividendInterest>

Explanation: The code indicates whether to observe the rights to dividend/interest or not at payment.

Standard:

Deviation: The field applies only to the product CA2OTPAY.

Characters: 1

**Rounding**

Mandatory: Yes

Valid values: U=rounding up, N=rounding down, INGEN=no rounding

XML-tag: <Rounding></Rounding>

Explanation: Code that specifies how securities are to be rounded off when calculating allocation or transfer.

Standard:

Deviation: The field applies to the products CA1RIGIS, CA1UNIT, CA4DEMER, CA4REVSP and CA4IPOFF.

Characters: 5

## 2.15. S

### **Security sort**

Mandatory: Yes

Valid values: Security sort

XML-tag: <SecuritySort></SecuritySort>

Explanation: The designation that shows the sort of security, e.g. PR A – preference share series A or 101 981201 – loan no. and maturity date or 1996:1 – year of issue and loan number for premium loan. The designation constitutes part of the name of a security.

Standard:

Deviation: The field applies only to the product CA5ORPLB.

Characters: 20

### **Security sort**

Mandatory: No

Valid values: Security sort, e.g. A

XML-tag: <SecuritySort></SecuritySort>

Explanation: The designation that shows the sort of security, e.g. PR A – preference share series A or 101 981201 – loan no. and maturity date or 1996:1 – year of issue and loan number for premium loan. The designation constitutes part of the name of a security.

Standard:

Deviation: The field applies to the products CA1RIGIS, CA1UNIT, CA4DEMER, CA4REDCA, CA4COPUR, CA4IPOFF and CA4ISBID.

Characters: 20

### **Serial number for dividend**

Mandatory: Yes

Valid values: Numbers

XML-tag: <SerialNumberForDividend></SerialNumberForDividend>

Explanation: Number that defines serial order for dividend.

Standard:

Deviation: The field applies only to the product CA3CASHD.

Characters: 6

### **Serial number for payment**

Mandatory: Yes

Valid values: Numbers

XML-tag: <SerialNumberForPayment></SerialNumberForPayment>

Explanation: Serial number for payment, within issuer, record date and order type.

Standard:

Deviation: The field applies only to the product CA2OTPAY.

Characters: 6

**Statement, Corporate Actions text**

Mandatory: No

Valid values: Text

XML-tag: <Statement lang="sv" type="VIEH"></Statement>

Explanation: Separate text referring to the order.

Standard:

Deviation: The field applies to the products CA1RIGIS and CA1UNIT.

Characters: 780

**Statement of holdings, earnings and tax**

Mandatory: No

Valid values: J=Yes, N=No

XML-tag: <StatementOfHoldingsEarningsAndTax></StatementOfHoldingsEarningsAndTax>

Explanation: The code indicates whether statements of holdings, earnings and tax are to be produced for the Swedish National Tax Board, Skatteverket.

Standard:

Deviation: The field applies only to the product CA2OTPAY.

Characters: 1

**Statement of holdings, earnings and tax type**

Mandatory: No

Valid values: Text

XML-tag:

<StatementOfHoldingsEarningsAndTaxType></StatementOfHoldingsEarningsAndTaxType>

Explanation: Type of Statement of holdings, earnings and tax according to the Swedish National Tax Board, Skatteverket, e.g. KU01, KU05, KU21.

Standard:

Deviation: The field applies only to the product CA2OTPAY.

Characters: 4

**Status**

Mandatory: Yes

Valid values: Pre=Preliminary, Def=Final, Utg=Removed, Utb=Paid, Gen=Executed

XML-tag: <Status></Status>

Explanation: The field describes the status of the corporate action.

Standard:

Deviation: No deviation, the field applies to all products within corporate actions.

Characters: 3

**Status for voting registration**

Mandatory: Yes

Valid values: EÖ=not opened yet, Ö=opened, S=closed

XML-tag: <StatusForVotingRegistration></StatusForVotingRegistration>

Explanation: Shows whether the voting rights routine is open or closed.

Standard:

Deviation: The field applies only to the product CA3GENME.

Characters: 2

**Subscribed amount (price)**

Mandatory: Yes

Valid values: Numbers

XML-tag: <SubscribedAmount></SubscribedAmount>

Explanation: Price per paid security at time of subscription.

Standard:

Deviation: The field applies to the products CA1RIGIS and CA1UNIT.

Characters: 10 (8,2)

**Subscription via Account Operator**

Mandatory: Yes

Valid values: J=Yes, N=No

XML-tag: <SubscrViaAccountOperator></SubscrViaAccountOperator>

Explanation: The code indicates whether registration of the issue instruction may be made by the AO or not. Issue instruction is used to mean, for example, subscription in a new issue/conversion of convertible securities.

Standard:

Deviation: The field applies to the products CA1RIGIS and CA1UNIT.

Characters: 1

**Subsidiary subscription**

Mandatory: No

Valid values: J=Yes, N=No

XML-tag: <SubsidiarySubscr></SubsidiarySubscr>

Explanation: Shows whether there is a subsidiary subscription or not.

Standard:

Deviation: The field is not active. The field applies to the products CA1RIGIS and CA1UNIT.

Characters: 1

**Swedish issue statement**

Mandatory: Yes

Valid values: Text

XML-tag: <Statement lang="sv" type="Emissionsredovisning"></Statement>

Explanation: Text from issue statement.

Standard:

Deviation: The field applies to the products CA1RIGIS and CA1UNIT.

Characters: 314

**Swedish order designation**

Mandatory: No

Valid values: Text

XML-tag: <SwedishOrderDesignation></SwedishOrderDesignation>

Explanation: Text explaining the payment.

Standard:

Deviation: The field applies only to the product CA20TPAY.

Characters: 60

**Swedish preliminary tax**

Mandatory: No

Valid values: J=Yes, N=No

XML-tag: <SwedishPreliminaryTax></SwedishPreliminaryTax>

Explanation: The code indicates whether or not payments may be subject to Swedish preliminary tax.

Standard:

Deviation: The field applies only to the product CA2OTPAY.

Characters: 1

**Swedish statement**

Mandatory: No

Valid values: Text

XML-tag: <SwedishStatement></SwedishStatement>

Explanation: Text from securities notification.

Standard:

Deviation: The field applies to the products CA2OTPAY, CA3CASHD, CA4SPLIT, CA4CHSEC, CA4BONIS, CA4DEMER, CA4REDCA, CA4REVSP, CA4COPUR, CA4IPOFF and CA4ISBID.

Characters: 314 (188 CA4SPLIT, CA4CHSEC, CA4BONIS ) (192 CA2OTPAY, CA3CASHD)

**Swedish statement 2**

Mandatory: No

Valid values: Text

1) XML-tag: <Statement lang="sv" type="Teckningsrätt tilldelning"></Statement>

2) XML-tag: <Statement lang="sv" type="Uniträtt tilldelning"></Statement>

Explanation: Text from securities notification at allocation of subscription rights.

Standard:

Deviation: 1) The field applies only to the product CA1RIGIS.

2) The field applies only to the product CA1UNIT.

Characters: 190

**Swedish statement 3**

Mandatory: No

Valid values: Text

1) XML-tag: <Statement lang="sv" type="Teckningsrätt till BTA"></Statement>

2) XML-tag: <Statement lang="sv" type="Uniträtt till BTU"></Statement>

Explanation: Text from securities notification at exchange of subscription right to paid subscription share/unit right to paid subscription unit.

Standard:

Deviation: 1) The field applies only to the product CA1RIGIS.

2) The field applies only to the product CA1UNIT.

Characters: 190

**Swedish statement 4**

Mandatory: No

Valid values: Text

1) XML-tag: <Statement lang="sv" type="BTA till AK"></Statement>

2) XML-tag: <Statement lang="sv" type="BTU till värdepapper"></Statement>

Explanation: Text from securities notification at exchange of paid subscription share to share/paid subscription unit to the securities received.

Standard:

Deviation: 1) The field applies only to the product CA1RIGIS.

2) The field applies only to the product CA1UNIT.

Characters: 190

**Swedish withholding tax**

Mandatory: No

Valid values: J=Yes, N=No

XML-tag: <SwedishWithholdingTax></SwedishWithholdingTax>

Explanation: The code indicates whether or not payments may be subject to Swedish withholding tax.

Standard:

Deviation: The field applies only to the product CA2OTPAY.

Characters: 1

## 2.16. T

### **Terms in general (Issue terms)**

Mandatory: Yes

Valid values: 00000:00000

XML-tag: <TermsInGeneral></TermsInGeneral>

Explanation: (3:1) Quantity of securities (subscription right/bonus right/share etc.) to be received per holding of a certain security in an issue or a split. (3:1) In a bonus issue, the quantity specification refers to the number of bonus rights required in order to obtain a share. In a split, the quantity specification refers to the quantity of securities required to obtain an allocation of the split security. In a new issue/purchase offer the quantity specification refers to the quantity of rights required to subscribe to/purchase a security or a nominal amount of a security.

Standard:

Deviation: The field applies to the products CA1RIGIS, CA1UNIT, CA4REVSP, CA4DEMER and CA4IPOFF.

Characters: 11 (5:5)

### **Terms in general (Issue terms)**

Mandatory: No

Valid values: 00000:00000

XML-tag: <TermsInGeneral></TermsInGeneral>

Explanation: (3:1) Quantity of securities (subscription right/bonus right/share etc.) to be received per holding of a certain security in an issue or a split. (3:1) In a bonus issue, the quantity specification refers to the number of bonus rights required in order to obtain a share. In a split, the quantity specification refers to the quantity of securities required to obtain an allocation of the split security. Note that this quantity is always=1. In a new issue/purchase offer the quantity specification refers to the quantity of rights required to subscribe to/purchase a security or a nominal amount of a security.

Standard:

Deviation: The field applies to the products CA4REDCA.

Characters: 11 (5:5)

### **To (subscription period to)**

Mandatory: Yes

Valid values: YYYY-MM-DD

XML-tag: <To></To>

Explanation: Final date for subscribing to / reserving securities in an issue.

Standard:

Deviation: The field applies to the products CA1RIGIS, CA1UNIT, CA4IPOFF and CA4ISBID.

Characters: 10

### **To (trade period to)**

Mandatory: Yes

Valid values: YYYY-MM-DD

XML-tag: <To></To>

Explanation: The final day for trading in subscription / purchase rights.

Standard:

Deviation: The field applies to the products CA1RIGIS, CA1UNIT and CA4IPOFF.

Characters: 10

**Total amount and currency**

Mandatory: Yes

Valid values: Currency code (text) + Amount (numbers)

XML-tag: <TotalAmount currency></TotalAmount>

Explanation: Total amount that is to be/was paid out.

Standard:

Deviation: The field applies to the products CA2REDWA, CA2REDEM and CA3CASHD. The field applies to CA2AMORT if value in tag Compensation is J=Yes.

Characters: Currency code 3 + Amount 15 (13,2)

**Total amount and currency**

Mandatory: No

Valid values: Currency code (text) + Amount (numbers)

XML-tag: <TotalAmount currency></TotalAmount>

Explanation: Total amount that is to be/was paid out.

Standard:

Deviation: The field applies to the products CA2INPAY and CA2OTPAY. The field applies to CA2AMORT if value in tag Compensation is N=No.

Characters: Currency code 3 + Amount 15 (13,2)

**Total winning amount**

Mandatory: No

Valid values: Numbers

XML-tag: <TotalWinningAmount></TotalWinningAmount>

Explanation: Total amount that is to be/was paid out as winnings.

Standard:

Deviation: The field applies only to the product CA5ORPLB.

Characters: 15 (13,2)

**Type of CA**

Mandatory: Yes

Valid values: IS=Initial public offer, NE=Rights issue, UE=Rights issue securities other than shares (unit issue), RB=Interest payment, IO=Redemption (not share), IW=Redemption warrant, UD=Share dividend, BS=Shareholders meeting, FE=Bonus issue, BV=Change of security sort, SP=Split, PD=Lottery draw for premium bond, AM=Amortization, AE=Issue in kind and take over bid, OS=Reversed split, FD=Demerger and securities dividend, AI=Redemption share capital, TI=Compulsory purchase or redemption, AU=Other payment

XML-tag: <TypeOfCA></TypeOfCa>

Explanation: The type of corporate action intended.

Standard:

Deviation: No deviation, the field applies to all products within corporate actions.

Characters: 2

**Type of interest payment**

Mandatory: Yes

Valid values: RA= payment via the Swedish Bank Giro system, RP= for payment via the Money Market Clearing

XML-tag: <TypeOfInterestPayment></TypeOfInterestPayment>

Explanation: Describes the type of interest payment.

Standard:

Deviation: The field applies only to the product CA2INPAY.

Characters: 2

**Type of (shareholders') meeting**

Mandatory: Yes

Valid values: O=Annual General Meeting, E=Extraordinary General Meeting

XML-tag: <TypeOfMeeting></TypeOfMeeting>

Explanation: Code specifying whether the shareholders' meeting is an annual general meeting (AGM) or an extraordinary general meeting (EGM).

Standard:

Deviation: The field applies only to the product CA3GENME.

Characters: 1

**Type of redemption**

Mandatory: Yes

Valid values: IL=redemption of money market loans in the equity market (AM), IP=redemption of money market loans in the money market (PM), LI=redemption of premium lottery bonds, SL=redemption of other loans (not money market) in the equity market (AM), EO=other types of redemptions.

XML-tag: <TypeOfRedemption></TypeOfRedemption>

Explanation: Shows the type of redemption to be made.

Standard:

Deviation: The field applies only to the product CA2REDEM.

Characters: 2

**Type of security**

Mandatory: Yes

Valid values:

AK	Share
AIO	Equity-index bond
BTA	Paid subscription share
BTU	Paid subscription unit
DI	Discount note
DKB	Bonus right convertible participating debenture
DR	Bonus right
FA	Mutual fund unit
IA	Interim share
IR	Purchase right
KO	Call option
KRG	Share basket certificate
KV	Convertible loan
KVB	Convertible participating debenture
OKV	Reverse convertible loan
OMA	OM Option, equity
OMB	OM Option, bond
OMC	OM Option, commodity
OMI	OM Option, index
OMO	OM Option, equity-TM
OMR	OM Option, interest
OMT	OM Option, interest-TM
OMV	OM Currency fix
OTA	Unpaid subscription share
OTU	Unpaid subscription unit
PO	Premium lottery bond
RB	Interest-bearing loan
SDB	Swedish depository receipt
SR	Special right
TIA	Compulsory redeemed share
TO	Subscription option
TR	Subscription right
TRV	Subscription right non-shares
UR	Unit right
WT	Warrant

XML-tag: <TypeOfSecurity></TypeOfSecurity>

Explanation: Abbreviations of security types, e.g. AK.

Standard:

Deviation: The field applies to the products CA1RIGIS, CA1UNIT, CA4DEMER, CA4REDCA, CA4COPUR, CA4IPOFF and CA4ISBID.

Characters: 3

**Type of split**

Mandatory: Yes

Valid values: 1=pure split, 2=split with exchange

XML-tag: <TypeOfSplit></TypeOfSplit>

Explanation: Code specifying whether it is a pure split or a split with exchange.

Standard:

Deviation: The field applies only to the product CA4SPLIT.

Characters: 1