

Communication Form

Registration form for using MQ, file services and VPC's ISO15022 interface.

Participant id ¹	Full name of participant

Environment ²
<input type="checkbox"/> VPC Test Environment (Acpt) <input type="checkbox"/> VPC Production Environment (Prod)

The request concerns
<input type="checkbox"/> New registration, to be valid from..... <input type="checkbox"/> Termination, to be valid from.....

Contact persons		
Name	Telephone number	E-mail

Means of communication	
<input type="checkbox"/> MQ ExtraNet	
Queue manager (Prod, Acpt):	
MQ-user id of participant:	
Customer reporting queue:	
Reply transaction:	
<input type="checkbox"/> FTP ExtraNet	
FTP-user & password (participant) IP address:	
<input type="checkbox"/> SWIFTNet	

Requested MQ services

MQ services
<input type="checkbox"/> ACZF603A Settlement status reporting <input type="checkbox"/> ACZF603B Allegement reporting <input type="checkbox"/> ACGF880A Day status reporting

¹ The participant id is the three letter clearing member identity code.

² Please complete a copy for each environment in the case you intend to use both.

Requested FTP services

The following files can be ordered via NFTP/FTP. The receiving file can be one of two types, a generation dataset or an ordinary file. If the generation dataset is used as the receiving file, the preferable generation shall be specified after the file name: (+1) means that a new generation is created and (0) means that the current generation is used. The files are per default transferred with FTP parameter PUT which means that the recipient's previous dataset content is deleted and filled with new data. If the participant wishes to use FTP parameter APPEND, meaning that the recipient's previous dataset remains and is added with new data, please mark this with an X in the column "App."

Mark w/ X	File register	Name	Receiving file name	App.
Settlement Bank				
	ACAF921	Accumulated liquidity report AM/PM		
	ACKF511	Account statement liquidity settlement account AM		
	ACKF524	Account statement liquidity settlement account PM		
	ACKF551	R/I liquidity forecast - total		
	ACKF591	R/I liquidity forecast – next R/I		
Clearing Member				
	ACAF211	Instructions with lacking payment capacity PM		
	ACAF481	Settlement report PM		
	ACAF491	Accumulated settlement report PM		
	ACAF881	Settlement report AM		
	ACAF891	Accumulated settlement report AM		
	ACBF811	Instructions with lacking payment capacity AM		
	ACKF512	Account statement cash memorandum account AM		
	ACKF513	Account statement CID's cash memorandum account AM		
	ACKF525	Account statement cash memorandum account PM		
	ACKF526	Account statement PM account group's cash memorandum account PM		
	ACKF552	R/I liquidity forecast - total		
	ACKF592	R/I liquidity forecast – next R/I		
	ACLF462	AO's purchase & sale free of payment		

Mark w/ X	File register	Name	Receiving file name	App.
Clearing Member Identity				
	ACAF881	Liquidity report AM		
	ACBF811	Instructions with lacking payment capacity AM		
	ACKF513	Account statement cash memorandum account AM		
	AFIF201	Reconciliation pre-match allegements		
	AFIF211	Reconciliation pre-match		
Nominee				
	IFIF001	Request and delivery of nominee information		
Account Operator				
	ACLF271	Instruction details in VPC account		
	ACLF341	AO's transfers per office		
	ACOF131	Reconciliation nominee account etc		
	ACOF133	Additional reconciliation nominee account etc		
	ACOF471	Holding Premium Bond		
	ACOF611	Record of entries in VPC account for premium bonds		
Issuer Agent				
	ENTF620	Subscription list rights issue		
	ENTF690	Subscription list issue in kind		
	ENPF231	Status reporting lottery rights received		
Common				
	ACZF603A	Settlement status <input type="checkbox"/> ACZF603A Settlement status reporting <input type="checkbox"/> ACZF603B Allegement reporting <input type="checkbox"/> ACGF880A Day status reporting		

If you have any additional files you would like to receive, please enter them below.

File register	Name	Receiving file name	App.

Cancellation request of reports (paper lists)

When a new communication connection is created for a participant, all mandatory and optional reports in the VPC system is automatically ordered for the roles connected to the participant. For more information about reports, see 'Guide to reports available in the VPC system'³.

The following optional reports can be cancelled by the participant.

Mark w/ X	Report register	Name
Settlement Bank		
	ACKL531	Account statement settlement bank's liquidity settlement account AM
	ACKL541	Account statement settlement bank's liquidity settlement account PM
	ACKL571	Account statement settlement bank's central bank credit account PM sub-market
Clearing Member		
	ACBL412	Net settlement amount per PM account group PM clearing member
	ACKL532	Account statement clearing member's cash memorandum account AM
	ACKL542	Account statement clearing member's cash memorandum account PM
Clearing Member Identity/PM Account Group		
	ACKL533	Account statement clearing member identity's cash memorandum account AM
	ACKL543	Account statement cash memorandum account PM account groups CM
Nominee/Account Operator		
	ACLL272	Postings in a VPC account
	ACLL342	Transfers per office
	ACOL132	Report nominee accounts, etc.
	ACOL134	Extra report nominee accounts, etc.

³ http://www.ncsd.eu/files/Guide_to_reports_from_the_VPCsystem1_0.pdf

If you have any additional reports you would like to cancel, please enter them below.

Report register	Name

Requested ISO15022 services

ISO15022 requires connectivity through either MQ ExtraNet or SWIFTNet. Please make sure that one of these has been chosen under “Means of communication”, page 1.

Instructions ⁴
<input type="checkbox"/> AM Sub-market <input type="checkbox"/> PM Sub-market <input type="checkbox"/> Cross Border Transactions <input type="checkbox"/> Alternative.....

Status and confirmation reporting
<input type="checkbox"/> MT544-MT547 Confirmations Receive and Deliver Free of/Against Payment, and MT548 Settlement Status Processing Advice

⁴ Please select for which submarkets and/or specific transactions you intend to send instructions and receive reporting via ISO15022. If you do not wish to send instructions via ISO15022 and merely receive statements, leave all boxes empty.

Reconciliation⁵
<input type="checkbox"/> MT535 Statement of Holdings
1. Accounts <input type="checkbox"/> Specific accounts ⁶ <input type="checkbox"/> All nominee and trading book accounts ⁷ * Automatically add new nominee and trading book accounts to subscription ⁸ : <input type="checkbox"/> Yes <input type="checkbox"/> No 2. Receive <input type="checkbox"/> Only when holding <input type="checkbox"/> Always 3. Frequency <input type="checkbox"/> Daily (after the last settlement cycle 5 pm) <input type="checkbox"/> Weekly <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly

<input type="checkbox"/> MT536 Statement of Transactions
1. Accounts <input type="checkbox"/> All accounts <input type="checkbox"/> Specific accounts ⁹ 2. Deliveries/Receipts ¹⁰ <input type="checkbox"/> Include <input type="checkbox"/> Exclude 3. Frequency <input type="checkbox"/> After every settlement cycle <input type="checkbox"/> Daily (after the last settlement cycle 5 pm)
<input type="checkbox"/> MT537 Statement of Pending Transactions
1. Receive <input type="checkbox"/> Only unmatched instructions <input type="checkbox"/> For all instructions 2. Frequency <input type="checkbox"/> After every settlement cycle <input type="checkbox"/> Daily (after the last settlement cycle 5 pm)

⁵ If you wish to receive reconciliation messages, please fill in the appropriate box/boxes. Please notice that the reconciliation messages are not provided for the cross border transactions.

⁶ If only some accounts, please specify them via transaction KPBU in the VPC system.

⁷ If you wish to add other accounts (e.g. owner accounts), please specify them via transaction KPBU in the VPC system.

⁸ Not applicable if you have chosen "Specific accounts".

⁹ If only some accounts, please specify them via transaction KPBU in the VPC system.

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Org.identification no: 556112-8074, *PG:* 40 98 50-5, *BG:* 300-2284

Send by post to

NCSD
VPC AB, Clearing & Settlement Services
Box 7822,
SE – 103 97 Stockholm
Sweden

Date

Signature by authorised person(-s)

Name(-s)

¹⁰ Deliveries/Receipts are posted on an MT536 for an account named "Not applicable". Please note that if you choose to receive MT536 for all accounts, this will be sent per default and is not optional.