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5 ACCOUNT MAINTENANCE SYSTEM

5.1 General

The account maintenance system embraces routines for registering and maintaining information on the VPC accounts of investors. Most transactions in the account maintenance system are expected to take place via a terminal, that is, they cannot be made by the transfer of mechanically created transactions from the AO's own system.

This document describes the transactions that allow the transfer of mechanically created transactions from the AO's own system.

Note that the transaction KKON may only be used for private individuals (without guardian). Legal entities have to be registered using the normal on-line transactions. A minor with guardian is registered using KKOE.

Specifying that a person is to have a representative, rights holder, pledgee or power of attorney is not permitted when using KKON, nor is it permitted to specify that a VPC account shall have the attributes of a service account.

If any of the above is required, normal on-line transactions must be used, or alternatively the procedures outlined below, such as the external transaction KSKU for service account.

Whenever a large number of VPC accounts are to be opened, the *Öppna vp-konton (Open VPC accounts)* routine is to be recommended in the chapter on **Bulk transfers**. This routine does not, however, allow the opening of accounts for persons and organisations that already have a valid VPC account.

The information found on the investor's annual statement, together with the information on the statement of security holdings, earnings and deductions (*kontrolluppgifter*) sent to the tax authority, can be found in the following transactions: SFKU, SFK1, SFK2, SFK3 och SFK4.

History of the versions

Date	Ver.	Description
2004-05-17	1.0	
2004-08-30		Correct the numbering
2009-02-19		New transaction KPSE

5.2 Account maintenance transactions

KKON – Owner account private individual, new entry

See layouts for - KKON

To VPC [KVKF110I](#)

From VPC [KVKF110O](#)

Transaction for new registration of details regarding a VPC account for a private individual of legal age or an estate.

To VPC

Field of application:

Used to register new VPC accounts for a private individual of legal age or an estate.

The fields in the transaction header should contain the following values.

ID-SEARCH-CODE ID-ACCOUNT-OWNER
(personal ID number or fictitious VPC registration number)

CODE-ACTION NEW

Fälten ADR-TILLÄGG och TEXT-VPKONTO är frivilliga uppgifter.

From VPC

Field of application:

Used to confirm to the AO the registration of newly-entered VPC accounts. It can be seen from the transaction how the received instruction has been processed in the VPC system.

KKOE – Owner account with guardian, new entry

See layouts for - KKOE

To VPC [KVKF330I](#)
From VPC [KVKF330O](#)

Transaction for new registration of details regarding a VPC account for a private individual with one or two guardians.

To VPC

Field of application:

Used for registering new VPC accounts with one or two guardians.

The fields in the transaction header should contain the following values.

ID-SEARCH-CODE ID-CIV-REG-NUMBER
CODE-ACTION NY

From VPC

Field of application:

Used to confirm to the AO the completed registration of new VPC accounts. The transaction shows how the received instruction has been processed in the VPC system.

KKOU – Owner account fysisk person, change

See layouts for - KKOU

To VPC [KVKF210I](#)
From VPC [KVKF210O](#)

To VPC

The fields in the transaction header should contain the following values:

ID-SEARCH-CODE blank
CODE-ACTION UP

From VPC

Field of application:

Used for confirming the registration of VPC accounts to the AO. The transaction shows how the received instruction has been processed in the VPC system.

KKOB – Owner account fysisk person, close

See layouts for - KKOB

To VPC [KVKF810I](#)
From VPC [KVKF810O](#)

To VPC

The fields in the transaction header should contain the following values:

ID-SEARCH-CODE blank
CODE-ACTION BT

From VPC

Field of application:

Used for confirming to the AO the closing of VPC account(s). The transaction shows how the received instruction has been processed in the VPC system.

Confirmation of closing of owner account.

KKOF – Owner account, enquiry

See layouts for - KKOF

To VPC [KVKE510I](#)
From VPC [KVKE510O](#)

To VPC

Field of application: Used for making enquiries about VPC account details.

The fields in the transaction header should contain the following values:

ID-SEARCH-CODE ID-VP-ACCOUNT
 CODE-ACTION blank

From VPC

Field of application:

The transaction shows how the received instruction has been processed in the VPC system.

Reply to question concerning an owner account.

KKOL – VPC accounts, list

See layouts for - KKOL

To VPC [KVKE710I](#)
From VPC [KVKE710O](#)

To VPC

Field of application:

Used for obtaining a list of VPC accounts held by an investor with the account operator person .

The fields in the transaction header should contain the following values:

ID-SEARCH-CODE ID-ACCOUNT-OWNER
 optional key 2: ALLA (to produce all accounts, including closed ones)
 optional key 3: ID-VP-ACCOUNT (used as a start value)
 CODE-ACTION blank

From VPC

Field of application:

The transaction shows how the received instruction has been processed in the VPC system.

Gives a reply as to which VPC accounts the investor holds with the AO.

KRIN – Holdings per settlement date

See layouts for – KRIN

To VPC [KVRF430I](#)
From VPC [KVRF430O](#)

To VPC

Field of application:

Used when an inventory needs to be produced and the holdings per a certain date have to be established.

The fields in the transaction header should contain the following values:

ID-SEARCH-CODE	ID-ACCOUNT-OWNER, DATE-SETTLEMENT
CODE-ACTION	blank

From VPC

Field of application:

The transaction shows how the received instruction has been processed in the VPC system.

Gives a reply as to which VPC accounts and holdings the investor holds with the AO on the requested settlement date.

KKCU – Income account, enter

See layouts for - KKCU

To VPC	KVKF340I
From VPC	KVKF340O

To VPC

The fields in the transaction header should contain the following values:

ID-SEARCH-CODE	ID-VP-ACCOUNT
CODE-ACTION	UP
	NY

Further information:

Each VPC account must have at least one income account, which must be in the main currency (at present SEK). The income account may either be a Swedish bank account, a postal giro account or a foreign bank account.

For each VPC account, 5 Swedish income accounts may be specified, though only one per currency. The same bank account may be used for several different currencies.

From VPC

Field of application:

Used to confirm to AO the execution of new registrations of /changes to income accounts. The transaction shows how the received instruction has been processed in the VPC system.

KFMU - Guardian, register maintenance

See layouts for - KFMU

To VPC	KVKF010I
From VPC	KVKF010O

To VPC

The fields in the transaction header should contain the following values:

ID-SEARCH-CODE	ID-VP-ACCOUNT
CODE-ACTION	blank
	UP
	NY
	BT

From VPC

Field of application:

Used to confirm to AO the execution of registrations of guardians on a VPC account. The transaction shows how the received instruction has been processed in the VPC system.

KFUU – Power of attorney, register maintenance

See layouts for - KFUU

To VPC [KVKF050I](#)
From VPC [KVKF050O](#)

To VPC

The fields in the transaction header should contain the following values:

ID-SEARCH-CODE	ID-VP-ACCOUNT, ID-POW-ATT-HOLDER
CODE-ACTION	blank UP NY BT

From VPC

Field of application:

Used to confirm to AO the execution of registrations of power of attorney. The transaction shows how the received instruction has been processed in the VPC system.

KFUF – Power of attorney, enquiry

See layouts for - KFUF

To VPC [KVKF650I](#)
From VPC [KVKF650O](#)

To VPC

Field of application:

Used to obtain information regarding power of attorney on a particular VPC account.

If the AO with power of attorney is omitted, the report will comprise all powers of attorney on the VPC account.

The fields in the transaction header should contain the following values:

ID-SEARCH-CODE	ID-VP-ACCOUNT, ID-POW-ATT-HOLDER
CODE-ACTION	blank

From VPC

Field of application:

Provides information concerning powers of attorney for a particular VPC account.

KPSE – Primary Pledging, register

See layouts for - KPSE

To VPC [KVPF130I](#)
From VPC [KVPF130O](#)

To VPC

Field of application:

Enables automated registration for pledging a VPC account and in the same transaction register rights holder for amortisation.

The fields in the transaction header should contain the following values:

ID-SEARCH-CODE	ID-VP-ACCOUNT
CODE-ACTION	NY

From VPC

Field of application:

Used to confirm to AO the execution of registrations of primary pledging.

KKPL - AO/Office for a VPC account holder's accounts, list

See layouts for - KKPL

To VPC [KV KF790I](#)
From VPC [KV KF790O](#)

To VPC

Field of application:

Used to obtain a list of AOs/offices where the investor holds active VPC accounts.

The fields in the transaction header should contain the following values:

ID-SEARCH-CODE ID-ACCOUNT-OWNER
CODE-ACTION blank

From VPC

Field of application:

Listing of all VPC accounts held by an investor.

KKBU - Ändra KI-tillhörighet för oConversion accountchange

See layouts for - KKBU

To VPC [KV KF240I](#)
From VPC [KV KF240O](#)

To VPC

Field of application:

Used to update AO authorisation of a conversion account, which thereby converts to a regular VPC account where the account holder has the right of disposal over the holdings.

The fields in the transaction header should contain the following values:

ID-SEARCH-CODE ID-VP-ACCOUNT
CODE-ACTION blank

From VPC

Field of application:

Used to confirm to AO the execution of registrations/updates of converted conversion accounts. The transaction shows how the received instruction has been processed in the VPC system.

KKMU -- Cancellation accountupdate av spärrat värdepapper

See layouts for - KKMU

To VPC [KV KF270I](#)
From VPC [KV KF270O](#)

To VPC

Field of application:

Used to update AO of a cancellation account, which are thus converted to a regular VPC account, where the account holder has right of disposal over the holdings and any blocked dividend/disbursement may be paid out.

The fields in the transaction header should contain the following values:

ID-SEARCH-CODE ID-VP-ACCOUNT, certificate no.
or ID-UNIQUE-IDENT
or ID-ACCOUNT-OWNER, company number, certificate no.
CODE-ACTION blank

From VPC

Field of application:

Used to confirm to AO the execution of registrations/updates of converted cancellation accounts. The transaction shows how the received instruction has been processed in the VPC system.

KTXU - Information VPC account, new entry, change or removal

See layouts for - KTXU

To VPC [KVKF060I](#)
From VPC [KVKF060O](#)

Transaction for the updating of information texts on a VPC account.

To VPC

The fields in the transaction header should contain the following values:

ID-SEARCH-CODE ID-VP-ACCOUNT
CODE-ACTION blank
NY
UP
BT

From VPC

Field of application:

Used to confirm the completed registration/update of information texts. The transaction shows how the received instruction has been processed in the VPC system.

KTXF – Information VPC account, enquiry

See layouts for - KTXF

To VPC [KVKF660I](#)
From VPC [KVKF660O](#)

Information text concerning a VPC account, displayed for chosen date.

To VPC

The fields in the transaction header should contain the following values:

ID-SEARCH-CODE ID-VP-ACCOUNT (,DATE-YYMMDD)
CODE-ACTION Blank

Further information:

If the date is not specified in the search key, current information details will be shown.

If the date is specified in the search key, historical information details will be shown.

From VPC

Field of application:

The transaction shows how the received instruction has been processed in the VPC system.

KSKU – Service account, register maintenance

See layouts for - KSKU

To VPC [KVEF010I](#)
From VPC [KVEF010O](#)

To VPC

Field of application:

Used to register new or update existing service accounts.

The fields in the transaction header should contain the following values:

ID-SEARCH-CODE	ID-VP-ACCOUNT
CODE-ACTION	blank
	UP
	NY
	BT

From VPC

Field of application:

Used to confirm to AO the execution of the entry of newly-registered/changed service accounts. The transaction shows how the received instruction has been processed in the VPC system.

KSKF – Service account, enquiry

See layouts for - KSKF

To VPC [KVEF010I](#)
From VPC [KVEF010O](#)

To VPC

The fields in the transaction header should contain the following values:

ID-SEARCH-CODE	ID-VP-ACCOUNT(,DATE-YYMMDD)
CODE-ACTION	blank

Transaction for enquiry about information concerning a service account.

If a date is specified together with the key, you will receive information on historical details relating to the account.

From VPC

Field of application:

The transaction shows how the received instruction has been processed in the VPC system.

KVKF – Category code, enquiry

See layouts for - KVKF

To VPC [KVEF760I](#)
From VPC [KVEF760O](#)

To VPC

The fields in the transaction header should contain the following values:

ID-SEARCH-CODE	CODE-SERVICE-CATEGORY or blank
CODE-ACTION	blank

Transaction for enquiries about details relating to a particular category for a service account.

From VPC

Field of application:

The transaction shows how the received instruction has been processed in the VPC system.

KKFN – Nominee account, new entry

See layouts for - KKFN

To VPC [KVKF160I](#)
From VPC [KVKF160O](#)

Registration of nominee accounts.

To VPC

The fields in the transaction header should contain the following values:

ID-SEARCH-CODE ID-ACCOUNT-OWNER
CODE-ACTION NY

From VPC

Field of application:

Used to confirm to AO the execution of the entry of newly-registered nominee accounts. The transaction shows how the received instruction has been processed in the VPC system.

PKFN – Nominee account PM, new entry

See layouts for - PKFN

To VPC [KVKF160I](#)
From VPC [KVKF160O](#)

Registration of nominee accounts for the PM sub-market.

To VPC

The fields in the transaction header should contain the following values:

ID-SEARCH-CODE ID-ACCOUNT-OWNER
CODE-ACTION NY

From VPC

Field of application:

Used to confirm to AO the execution of entries of newly-registered nominee accounts. The transaction shows how the received instruction has been processed in the VPC system.

KKFF – Nominee account, enquiry

See layouts for - KKFF.

To VPC [KVKF560I](#)
From VPC [KVKF560O](#)

To VPC

Field of application:

Used to make enquiries about details of VPC account, nominee account.

The fields in the transaction header should contain the following values:

ID-SEARCH-CODE ID-VP-ACCOUNT
CODE-ACTION blank

From VPC

Field of application:

The transaction shows how the received instruction has been processed in the VPC system.

KRUT – Extract from register, request

See layouts for - KRUT

To VPC [KVRF200I](#)
From VPC [KVRF200O](#)

Field of application:

Used for ordering
extract from VPC account, list of holdings or
extract from VPC account, disbursements or
copy of annual statement.

To VPC

The fields in the transaction header should be adapted according to the type of extract:

List of holdings on all VPC accounts for the specified personal ID number

ID-SEARCH-CODE ID-ACCOUNT-OWNER,IH
CODE-ACTION blank

Extract of disbursements on all VPC accounts for the specified personal ID number

ID-SEARCH-CODE ID-ACCOUNT-OWNER,UT
CODE-ACTION blank

List of holdings on specified account

ID-SEARCH-CODE ,IH,ID-VP-ACCOUNT
CODE-ACTION blank

Extract of disbursements on specified account

ID-SEARCH-CODE ,UT,ID-VP-ACCOUNT
CODE-ACTION blank

Copies of latest annual statements

ID-SEARCH-CODE ID-ACCOUNT-OWNER,ÅR
CODE-ACTION blank

From VPC

Field of application:

The transaction shows how the received instruction has been processed in the VPC system.

5.3 Status reporting of account maintenance events

KVSF331 – VPC accounts new, closed and with legal encumbrance

The reporting by file of newly-opened VPC accounts (including "homecoming" conversion and cancellation accounts), closed VPC accounts or those with legal encumbrance is made daily to the respective AO.

This is done by creating a file for each AO, which the AO can then retrieve with the aid of an enquiry transaction.

The file contains, firstly, a record with the file header, followed by a number of records with newly opened, closed or encumbered VPC accounts.

Newly-opened VPC accounts (record type 02)

See layout for - [KVSF3312](#)

1. Closed VPC accounts (record type 03) See layout for - [KVSEF3312](#)
2. Encumbered VPC accounts (record type 04) See layout for - [KVSEF3313](#)
3. Deregistration of encumbrances on VPC accounts (record type 05) See layout for - [KVSEF3313](#)

KVZF123 – Income accounts and addresses changed manually

See layout for - [KVZF1232](#)

The reporting by file of manually changed income accounts and addresses on VPC accounts. The status reporting is made daily to the respective AO. This is done by creating a file for each AO, which the AO can then retrieve with the aid of an enquiry transaction.

The AO can choose to receive a printable report or a file.

The file contains, firstly, a record with the file header, followed by a number of records with changed income accounts and addresses on VPC accounts.

KVZF124 – Conversion of VPC cancellation accounts

See layout for - [KVZF1242](#)

The reporting by file of VPC cancellation accounts that have been converted by the AO to regular VPC accounts. The feedback is made daily to the respective AO. This is done by creating a file for each AO, which the AO can then retrieve with the aid of an enquiry transaction.

The AO can choose to receive a printable report or a file.

The file contains, firstly, a record with the file header, followed by one record per converted VPC cancellation account.

5.4 File requests

KVSEF351 – All VPC accounts for an AO

See layout for - [KVSEF3512](#)

An AO wishing to obtain information of all its VPC accounts, can order a file from VPC. The file contains, firstly, a record with the file header, followed by one record for each of the VPC accounts retrieved.

KVSEF791 – Checking bank accounts

See layout for - [KVSEF7912](#)

A bank can verify that the bank accounts registered in the VPC system are correct by ordering and retrieving the above file, which contains income accounts with the bank's clearing numbers, together with VPC accounts for which the bank is AO and where income accounts are lacking.

Income accounts included in this file are linked to an active VPC account in the AM sub-market with security holdings. Details of VPC account numbers and personal/organisational identification numbers can be given where the bank is also AO for the VPC account, otherwise the VPC account number is omitted. The same income account can be linked to several different currencies and may therefore be found several times.

The file contains, firstly, a record with the file header, followed by a number of records with cancellation-marked VPC accounts.

5.5 Report selection, service accounts

KSEK – Service account selected issuer, office

See layouts for - KSEK

To VPC [KVEF050I](#)
From VPC [KVEF050O](#)

To VPC

Field of application:

Used to request a report concerning a specified issuer. The order created will be dealt with in the subsequent night-time processing.

KSEK creates an order for the retrieval of all the AO's service accounts for a specified issuer and office.

The fields in the transaction header should contain the following values:

ID-SEARCH-CODE blank
CODE-ACTION blank

From VPC

Field of application:

The transaction shows how the received instruction has been processed in the VPC system.

Output data can be ordered in a variety of different formats: data file for transfer to AO according to technical interfaces: [KVEF4311](#), [KVEF4312](#) and [KVEF4313](#), as a screen report, as name and address labels or as printable reports.

KSEA – Service account selected issuer, all offices

See layouts for - KSEA

To VPC [KVEF050I](#)
From VPC [KVEF050O](#)

To VPC

Field of application:

Used to create an order for a report concerning a given issuer. The order will be dealt with in the subsequent night-time processing.

KSEA creates an order for the retrieval of all the AO's service accounts.

The fields in the transaction header should contain the following values:

ID-SEARCH-CODE blank
CODE-ACTION blank

From VPC

Field of application:

Used to verify the processing of the transaction.

Output data can be ordered in a variety of different formats: as data file for transfer to AO according to technical interfaces: [KVEF4311](#), [KVEF4312](#) and [KVEF4313](#), as a screen report, as name and address labels or as a printable report.

KSIK - All service accounts, per office

See layouts for - KSIK

To VPC [KVEF040I](#)
From VPC [KVEF040O](#)

To VPC

Field of application:

Used to create an order for a report of service accounts. The order created will be dealt with in the subsequent night-time processing.

The fields in the transaction header should contain the following values:

ID-SEARCH-CODE blank
CODE-ACTION blank

From VPC

Field of application:

Used to verify the processing of the transaction.

KSIK creates an order for all the AO's service accounts for a specified office.

Output data can be ordered in a variety of different formats: as data file for transfer to AO according to technical interfaces: [KVEF3311](#), [KVEF3312](#) and [KVEF3313](#), as a printable report or as a screen report.

KSIA - All service accounts, all offices

See layouts for - KSIA

To VPC [KVEF040I](#)
From VPC [KVEF040O](#)

To VPC

Field of application:

Used to create an order for a report of service accounts. The order created will be dealt with in the subsequent night-time processing.

The fields in the transaction header should contain the following values:

ID-SEARCH-CODE blank
CODE-ACTION blank

From VPC

Field of application:

Used to verify the processing of the transaction.

KSIA creates an order for the retrieval of all the AO's service accounts.

Output data can be ordered in a variety of different formats: as data file for transfer to AO according to technical interfaces: [KVEF3311](#), [KVEF3312](#) and [KVEF3313](#), as a printable report or as a screen report.

KSRL - Reports on screen, per office

See layouts for - KSRL

To VPC [KVEF710I](#)
From VPC [KVEF710O](#)

To VPC

Field of application:

The fields in the transaction header should contain the following values:

ID-SEARCH-CODE NUM-OFFICE-AO
CODE-ACTION blank

Used to obtain a list of reports of service accounts, ordered for display on screen.

From VPC

Field of application:

Used to display a list of reports for further selection.

KSRA - Reports on screen, all offices

See layouts for - KSRA

To VPC [KVEF710I](#)
From VPC [KVEF710O](#)

To VPC

Field of application:

The fields in the transaction header should contain the following values:

ID-SEARCH-CODE ALLA
CODE-ACTION blank

Used to provide a list of reports of service accounts, ordered for display on screen.

From VPC

Field of application:

Used to display a list of reports for further selection.

KSBA - Report of selected AO

See layouts for - KSBA

To VPC [KVEF710I](#)
From VPC [KVEF710O](#)

To VPC

Field of application:

The fields in the transaction header should contain the following values:

ID-SEARCH-CODE ALLA,NUM-REQUEST-SERVICE-ACCT
CODE-ACTION blank

Used to provide a list of reports of service accounts, ordered for display on screen.

From VPC

Field of application:

Used to display a list of reports for further selection.

KSBL - Report of selected office

See layouts for - KSBL

To VPC [KVEF720I](#)
From VPC [KVEF720O](#)

To VPC

Field of application:

The fields in the transaction header should contain the following values:

ID-SEARCH-CODE NUM-OFFICE-AO, NUM-REQUEST-SERVICE-ACCT
CODE-ACTION blank

Used to obtain a list of reports of service accounts, ordered for display on screen.

--

From VPC

Field of application.

Used to display a list of reports for further selection.

KSBF – Orders not executed, per office

See layouts for – KSBF

To VPC [KVEF740I](#)
From VPC [KVEF740O](#)

To VPC

The fields in the transaction header should contain the following values:

ID-SEARCH-CODE NUM-OFFICE-AO
CODE-ACTION blank

KSBF authorizes access to reports for a specified office within the AO. KSAF is used by those with authorization to all offices within an AO.

From VPC

Field of application:

Used to provide a list of orders awaiting subsequent processing..

KSAF - Orders not executed, all offices

See layouts for – KSAF

To VPC [KVEF740I](#)
From VPC [KVEF740O](#)

To VPC

The fields in the transaction header should contain the following values:

ID-SEARCH-CODE ALLA
CODE-ACTION Blank

KSBF authorizes access to reports for a specified office within the AO. KSAF is used by those with authorization to all offices within an AO.

From VPC

Field of application:

Used to provide a list of orders awaiting subsequent processing.

–Order service account, selected security layout so - oomo Field of application Used for obtaining a list of securities for a specified issuer Preceeds selection via or

The fields in the transaction header should contain the following values:

ID-SEARCH-CODE NAME-ISSUING-COMPANY-MNEM
CODE-ACTION Blank

From VPC

Field of application:

Used to verify the processing of the transaction.

– Service account with selected security, per office

See layouts for - KSVK

To VPC [KVEF060I](#)
From VPC [KVEF060O](#)

To VPC

Field of application:

Used to create a request for a report of service accounts with holdings in a specified security. The order created will be dealt with in the subsequent night-time processing.

The fields in the transaction header should contain the following values:

ID-SEARCH-CODE	Blank
CODE-ACTION	Blank

From VPC

Field of application:

KSVK creates an order for a specified office from amongst the AO's service accounts.

Output data may be ordered in a variety of formats: as a data file for transfer to the AO according to technical interfaces [KVEF2311](#), [KVEF2312](#), [KVEF2313](#), as a report or as name and address labels according to technical interfaces [KVEF2321](#) and [KVEF2322](#).

– account with selected security, all officeselayoutso-oomo Field of application

Used to create a request for a report of service accounts with holdings in a given security. The order created will be dealt with in the subsequent night-time processing.

romField of application:

creates an order for all service accounts with holdings in the specified security within an AO

Output data may be ordered in a variety of formats: as a data file for transfer to the AO according to technical interfaces [KVEF2311](#), [KVEF2312](#), [KVEF2313](#), as a report or as name and address labels according to technical interfaces [KVEF2321](#) and [KVEF2322](#).

5.6 Information concerning annual statements sent and statements of security holdings, earnings and tax deductions submitted to the Swedish tax authorities

The following transactions are used to retrieve the information the investor has received on his annual statement as well as the information sent to the Swedish tax authority (*kontrolluppgifter*).

Note that the annual statement has been sent to the investor per VPC account, whereas the information to the Swedish National Tax Board in the form of a statement of earnings and deductions has been submitted per person (which may explain certain differences due to rounding).

SFKU – Annual statement/account – name details, enquiry

See layouts for - SFKU

To VPC	SRMF800I
From VPC	SRMF800O

To VPC

Field of application:

Used to obtain name and address details appearing on the annual statement.

The fields in the transaction header should contain the following values:

ID-SEARCH-CODE	ID-VP-ACCOUNT
CODE-ACTION	blank

From VPC

Field of application:

Shows details of the name and address used on the annual statement. The address refers to the person/persons who has/have received the annual statement for the VPC account (such as the account holder, rights holder or guardian).

SFK1 - Annual statement/account – holdings, enquiry

See layouts for - SFK1

To VPC [SRMF810I](#)
From VPC [SRMF810O](#)

To VPC

Field of application:

Used to retrieve information about the holdings reported on the annual statement and on the statement of earnings and deductions sent to the Swedish tax authority (*kontrolluppgifter*).

The fields in the transaction header should contain the following values:

ID-SEARCH-CODE	ID-VP-ACCOUNT
CODE-ACTION	blank

From VPC

Field of application:

Shows the holdings reported on the annual statement and, where applicable, reported to the Swedish tax authority.

SFK2 – Annual statement/account - disbursements, enquiry

See layouts for - SFK2

To VPC [SRMF820I](#)
From VPC [SRMF820O](#)

To VPC

Field of application:

Used to retrieve information concerning disbursements reported on the annual statement and, where applicable, reported to the Swedish tax authority on the statement of earnings and deductions (*kontrolluppgifter*).

The fields in the transaction header should contain the following values:

ID-SEARCH-CODE	ID-VP-ACCOUNT
CODE-ACTION	blank

From VPC

Field of application:

Shows information about disbursements reported on the annual statement and, where applicable, reported to the Swedish tax authority on the statement of earnings and deductions (*kontrolluppgifter*).

SFK3 – Annual statement/account – securities distribution, enquiry

See layouts for - SFK3

To VPC [SRMF830I](#)
From VPC [SRMF830O](#)

To VPC

Field of application:

Used to retrieve information on the distribution of securities reported on the annual statement and, where applicable, on the statement of earnings and deductions reported to the Swedish tax authority (*kontrolluppgifter*). .

The fields in the transaction header should contain the following values:

ID-SEARCH-CODE	ID-VP-ACCOUNT
CODE-ACTION	blank

From VPC

Field of application:

Shows the securities distribution reported on the annual statement and, where applicable, on the statement of earnings and deductions reported to the Swedish tax authority (*kontrolluppgifter*).

SFK4 – Annual statement/account - summary

See layouts for - SFK4

To VPC [SRMF840I](#)
From VPC [SRMF840O](#)

To VPC

Field of application:

Used to obtain a summary of total disbursements reported on the annual statement and, where applicable, a summary of tax reported to the Swedish tax authority on the statement of earnings and deductions (*kontrolluppgifter*).

The fields in the transaction header should contain the following values:

ID-SEARCH-CODE	ID-VP-ACCOUNT
CODE-ACTION	blank

From VPC

Field of application:

Shows a summary of total disbursements declared on the annual statement and a summary of tax reported to the Swedish tax authority on the statement of earnings and deductions (*kontrolluppgifter*).