

# Transaction guide

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### NOTE!

Search keys given in this guide are mandatory keys.

On quite a few of the transactions listed below, optional keys can be added to limit, extend or specify the received information.

Press **F1** in the 'key' field, on the transaction screen, to obtain information on both mandatory and optional keys for the transaction in question.

Transactions marked with \* in this guide, contains historical information.

<b>TRANSACTION CODE / DESCRIPTION</b>	<b>KEY</b>
<b>ZHLP</b> Help Instructions	Transaction code
<b>INFO*</b> Information from VPC, list	
<b>LANG</b> Choose language code	
<b>CLKD</b> List for day status-calendar	
<b>CFKD</b> Calendar day, enquiry	Calendar day, currency
<b>KTMF</b> Tolerance matching information, enquiry	Currency, sub-market
<b>KOBL</b> Changed organisation id number	Organisation id number or participant code or issuer name

## AM SUB-MARKET

### Enquiries concerning AO / CM / CID / SB / NM

<b>KDEL</b> Participant identities, list	
<b>KKIL</b> Account operators, list	
<b>KCML</b> Clearing members, list	
<b>KLBL</b> Settlement banks, list	
<b>KFVL</b> Nominee, list	
<b>KKKL</b> Offices, list	AO
<b>KQIL</b> Qualified Intermediary, list	
<b>KDEF</b> Participant, enquiry	Participant identity
<b>KKIF</b> Account operator, enquiry	
<b>KCMF</b> Clearing member, enquiry	
<b>KCIF</b> Clearing member identity, enquiry	
<b>KLBF</b> Settlement bank, enquiry	
<b>KFVF</b> Nominee, enquiry	Nominee identity
<b>KKKF</b> Office, enquiry	Office, AO
<b>KKPF</b> Contact persons, enquiry	Participant identity
<b>KBIL</b> BIC code, list	Participant identity

## **Register maintenance AO**

<b>KKPU</b>	Contact persons, register maintenance	
<b>KKKU</b>	Office, register maintenance	Office

## **ACCOUNT MAINTENANCE**

### **Information about investor's VPC accounts**

<b>KKOL</b>	Owner accounts, list	Organisation id number/personal id number
<b>KKPL</b>	AO/Office for VPC owner's accounts	Organisation id number/personal id number
<b>KNAL</b>	Owner registration numbers, list <i>(only fictitious owner registration nos.)</i>	Name
<b>KTIL</b>	VPC accounts for specific TIN, list	Taxpayer Identification Number (TIN)

### **Enquiry on VPC account**

<b>KKOF</b>	Owner account, enquiry	VPC account number
<b>KKO2</b>	Owner account, enquiry (page 2)	VPC account number
<b>KKLF</b>	Trading book account, enquiry	VPC account number
<b>KKFF</b>	Nominee account, enquiry	VPC account number
<b>KKF2</b>	Nominee account, enquiry (page 2)	VPC account number
<b>KHIF*</b>	VPC account, history	VPC account number, date
<b>KHCF*</b>	Income account information, history	VPC account number, date
<b>SFSA</b>	Joint owners, enquiry	VPC account number
<b>HFSA*</b>	Joint owners previous year, enquiry	VPC account number

### **Annual statement**

<b>SFKU</b>	Annual statement/account - name details	VPC account number
<b>SFK1</b>	Annual statement/account - holdings	VPC account number
<b>SFK2</b>	Annual statement/account – payments	VPC account number
<b>SFK3</b>	Annual statement/account – alloc. of sec.	VPC account number
<b>SFK4</b>	Annual statement/account – overview	VPC account number
<b>SFS1</b>	Annual statement/joint account – holdings	VPC account number
<b>SFS2</b>	Annual statement/joint account - payments	VPC account number
<b>SFS3</b>	Annual statement/joint account – alloc. of sec.	VPC account number
<b>SFS4</b>	Annual statement/joint account – overview	VPC account number

<b>HFSU</b>	Annual statement prev. year – name details	VPC account number
<b>HFS1</b>	Annual statement prev. year – holdings	VPC account number
<b>HFS2</b>	Annual statement prev. year – payments	VPC account number
<b>HFS3</b>	Annual statement prev. year – alloc. of sec.	VPC account number
<b>HFS4</b>	Annual statement prev. year – overview	VPC account number

### **Information to account holder**

<b>KRUT*</b>	Register extract, order	Personal/Organization id no, type of extract (see PF1)
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### **Open VPC accounts**

<b>KKJN</b>	Owner account legal entity, register	Organisation id number
<b>KKON</b>	Owner account private individual, reg.	Personal id number
<b>KKCU</b>	Income account(s), register	VPC account number
<b>KKLN</b>	Trading book account, register	Organisation id number
<b>KKFN</b>	Nominee account, register	Organisation id number

### **Change VPC accounts**

<b>KKJU</b>	Owner account legal entity, change	VPC account number
<b>KKOU</b>	Owner account private individual, change	VPC account number
<b>KKLU</b>	Trading book account, change	VPC account number
<b>KKFU</b>	Nominee account, change	VPC account number
<b>KKBU</b>	Conversion account, change	VPC account number
<b>KKMU</b>	Cancellation account, update	VPC account number, certificate number
<b>KDSU</b>	Account block, register maintenance	VPC account number

### **Close VPC accounts**

<b>KKOB</b>	Owner account, close	VPC account number
<b>KKLB</b>	Trading book account, close	VPC account number
<b>KKFB</b>	Nominee account, close	VPC account number

## **Guardian**

<b>KFMU</b>	Guardian, register maintenance	VPC account number
<b>KFMF</b>	Guardian, enquiry	VPC account number
<b>KHMF*</b>	Guardian, history	VPC account number, date

## **Representative**

<b>KOMU</b>	Representative, register maintenance	VPC account number
<b>KOMF</b>	Representative, enquiry	VPC account number
<b>KHOF*</b>	Representative, history	VPC account number, date

## **Rights holder(s)**

<b>KRHU</b>	Rights holder, register maintenance	VPC account number, type of right
<b>KRCU</b>	Income account rights holder, register	VPC account number, type of right
<b>KRHF</b>	Rights holder, enquiry	VPC account number, type of right
<b>KRHL</b>	Rights holders, list	VPC account number
<b>KHRF*</b>	Rights holder, history	VPC account number, date, type of right
<b>KHR2*</b>	Income account rights holder, history	VPC account number, date, type of right

## **Power of attorney**

<b>KFUU</b>	Power of attorney, register maintenance	VPC account no, AO-code for holder of PoA
<b>KFUF</b>	Power of attorney, enquiry	VPC account no, AO-code for holder of PoA
<b>KFUL</b>	Power(s) of attorney held, list	VPC account number, AO-code for issuing AO
<b>KHUF*</b>	Power of attorney, history	VPC acct no, date, AO-code for holder of PoA

## **Tax handling**

<b>KADU</b>	Tax treaty/address/TIN, maintenance	VPC account no, org id no/personal id no
<b>KADF</b>	Tax treaty/address/TIN, enquiry	VPC account no, org id no/personal id no
<b>KHDF*</b>	Tax <input type="checkbox"/> dress, history	VPC acct no, date, org id no/personal id no
<b>KHTL*</b>	TIN/LOB information, history	VPC account number
<b>KSPU</b>	Swedish withholding tax profile, register	Nominee account number
<b>KSPF</b>	Swedish withholding tax profile, enquiry	Nominee account number
<b>UTRS</b>	Payments available for tax reporting, overview	Nominee identity

## **Country/tax codes**

<b>KLKL</b>	Country codes, list	
<b>KSSL</b>	Country/tax codes, list	Country code
<b>KLKF</b>	Country code, enquiry	Country code
<b>KSSF</b>	Tax code, enquiry	Country code, tax code

## **Adjustment of preliminary tax**

<b>KJMU</b>	Adjustment of prel. Tax, register	VPC account number, personal id number
<b>KJMF*</b>	Adjustment of prel. Tax, enquiry	VPC account number, personal id number

## **Information text**

<b>KTXU</b>	Info text VPC account, register	VPC account number
<b>KTXF*</b>	Info text VPC account, enquiry	VPC account number

## **Service account**

<b>KSKU</b>	Service account, register maintenance	VPC account number
<b>KSKF</b>	Service account, enquiry	VPC account number
<b>KVKF</b>	Category code, enquiry	Category code
<b>KSPL</b>	Report menu	
<b>KSRL</b>	Reports on screen, per office	Office
<b>KSBL</b>	Reports for selected office	Office, order identity
<b>KSIK</b>	All service accounts, per office	
<b>KSEK</b>	Service accounts specific issuer, per office	
<b>KSVK</b>	Service accts with selected securities, per office	

## **VPC account – holdings**

<b>CLIN</b>	Securities holdings in VPC account, list	VPC account number
<b>CLHT*</b>	Posted in Historical Journal, list	VPC account no, issuer, sec type, sec sort
<b>HIHL*</b>	Changes in holdings, list	
<b>CSIN</b>	Securities holdings, overview	
<b>CLDB</b>	Instructions in Daily Journal, list	VPC account no, issuer, sec type, sec sort
<b>AFTI</b>	Available holdings, enquiry	
<b>AFIP</b>	Holdings premium bond, enquiry	VPC account number, issuer, security sort

<b>AFFP</b>	Premium bonds loans within series, enq	VPC account no, issuer, sec sort, trade seq
<b>ALVA</b>	Show pending trades, list	VPC account number

### **Pledge account**

<b>KPSN</b>	Primary pledging, register	VPC account number
<b>KPHN</b>	Pledging/repledging, register	VPC account number
<b>KPSU</b>	Pledging/repledging, change	VPC account number, pledge order number
<b>KPHU</b>	Change of pledgee	VPC account number, pledge order number
<b>KPBN</b>	Change of pledger	VPC account no (old), VPC account no (new)
<b>KPSB</b>	Pledgee, deregister	VPC account number, pledge order number
<b>KPSL</b>	Pledges, list	VPC account number
<b>KPSF</b>	Pledging, enquiry	VPC account number, pledge order number
<b>KHPF*</b>	Pledging, history	VPC account no, date, pledge order number

### **SECURITIES INFORMATION**

<b>VFEM</b>	Issuer, enquiry	Issuer
<b>VFBD</b>	General meeting of shareholders, enquiry	Issuer
<b>VFGN</b>	Issuer's old name(s), enquiry	Issuer
<b>VFEV</b>	Issuer's securities, enquiry	Issuer
<b>VFAK</b>	Share, enquiry	Issuer
<b>VFAI</b>	Equity-linked bond, enquiry	Issuer
<b>VFKV</b>	Convertible, enquiry	Issuer
<b>VFOK</b>	Reversed convertible, enquiry	Issuer
<b>VFKA</b>	Convertible participating debenture, enq.	Issuer
<b>VFKG</b>	Basket security, enquiry	Issuer
<b>VFRB</b>	Interest-bearing loan, enquiry	Issuer
<b>VFEP</b>	Entitlement securities, enquiry	Issuer
<b>VFSD</b>	Swedish receipt depository, enquiry	Issuer
<b>VFKO</b>	Call option, enquiry	Issuer
<b>VFTO</b>	Subscription option, enquiry	Issuer
<b>VFDI</b>	Discount note, enquiry	Issuer
<b>VFIR</b>	Non-VPC affiliated security, enquiry	Issuer
<b>VFVP</b>	ISIN, enquiry	ISIN number
<b>VFWT</b>	Warrant, enquiry	Issuer
<b>VFPO</b>	Premium bond loan, enquiry	Issuer, security sort
<b>EPFD</b>	Lottery right premium loan, enquiry	Issuer, record date
<b>EPFA</b>	Lottery draw notification, enquiry	Issuer, record date
<b>VFDP</b>	Lottery draw rights, enquiry	Issuer

## **CORPORATE ACTIONS**

<b>EFFA</b>	Issues per date intervals, enquiry	Issue date (yy)
<b>EFFE</b>	Corporate actions per issuer, enquiry	Issuer
<b>ENFO</b>	Rights issue order, enquiry	Issuer
<b>ENFV</b>	Rights issue terms, enquiry	Issuer
<b>EFFO</b>	Bonus issue order, enquiry	Issuer
<b>EFFV</b>	Bonus issue terms, enquiry	Issuer
<b>ESFO</b>	Split order, enquiry	Issuer
<b>ESFV</b>	Split terms, enquiry	Issuer
<b>EAFO</b>	Issue in kind order, enquiry	Issuer
<b>EAFV</b>	Issue in kind terms, enquiry	Issuer
<b>EGFK</b>	Issue account statements, enquiry	Issuer
<b>EGFM</b>	Notification text, enquiry	Issuer
<b>EKfV</b>	Conversion terms, enquiry	Issuer, convertible security, year
<b>EKfB</b>	Registered interim shares, enquiry	Issuer
<b>EOVD</b>	Exchange of bonus rights, register	VPC account number, issuer
<b>RVLF</b>	List lottery draw order, enquiry	Issuer, security type, security sort
<b>EKRR</b>	Transfer remaining holdings, register	VPC account no, issuer, convertible security
<b>EOFI</b>	Holdings issue, enquiry	VPC account no, issuer
<b>EKFI</b>	Holdings conversion, enquiry	VPC account no, issuer
<b>EVfV</b>	Securities credit terms, enquiry	Issuer, order date (yymmdd), sec credit type
<b>EIFV</b>	Offer terms, enquiry	Issuer

## **SETTLEMENT HEADROOM**

### **Settlement headroom, register**

<b>C1UD</b>	Request for direct withdrawal, register	Currency, sub-market
<b>C1UA</b>	Request withdrawal after settlement, reg.	Currency, sub-market
<b>C1OV</b>	Request for transfer, register	Currency
<b>C2LM</b>	CM limit details, register	CM, currency, sub-market
<b>C2AU</b>	Change settlement headroom CM, reg.	CM, currency, sub-market
<b>C3LM</b>	CID/PMG limit details, register	CID, currency, sub-market
<b>C3AU</b>	Change settl. Headroom CID/PMG, reg.	CID, currency, sub-market

### **Limit, enquiry**

<b>C2LF</b>	CM limit details, enquiry	CM, currency, sub-market
<b>C3LF</b>	CID/PMG limit details, enquiry	CID, currency, sub-market
<b>C2LL</b>	Limits CM, list	Currency, sub-market
<b>C3LL</b>	Limits CID/PMG, list	Currency, sub-market

### **Basic settlement headroom, enquiry**

<b>K1GL</b>	Base Settlement headroom SB, list	Currency, sub-market
<b>K2GL</b>	Base Settlement headroom CM, list	Currency, sub-market

## **SETTLEMENT INSTRUCTIONS**

### **Register instructions**

<b>ATBE</b>	Receipt, register
<b>ATLE</b>	Delivery, register
<b>ATAI</b>	Securities deposit, register
<b>ATAU</b>	Securities withdrawal, register
<b>ATPI</b>	Deposit, pledge account, register
<b>ATPU</b>	Withdrawal, pledge account, register
<b>ATMI</b>	Deposit, legal encumbrance, register
<b>ATMU</b>	Withdrawal, legal encumbrance, register
<b>ATPT</b>	Allocation premium bond, register
<b>ATAP</b>	Securities withdrawal premium bond, reg.
<b>AGLH</b>	Event codes, list

### **Event codes**

<b>AGLH</b>	Event codes, list
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### **RTGS transactions**

<b>BTKO</b>	Purchase RTGS, register	
<b>BTSA</b>	Sale RTGS, register	
<b>BFKO*</b>	Purchase RTGS, enquiry	Transaction reference number

<b>BFSA*</b>	Sale RTGS, enquiry	Transaction reference number
<b>BLRM*</b>	Registered RTGS transactions, list	
<b>BMKO</b>	Purchase RTGS reversal, register	Transaction reference number
<b>BMSA</b>	Sale RTGS reversal, register	Transaction reference number

### **Account transfers**

<b>ATOV</b>	Account transfer, register	
<b>ATMO</b>	Account transfer multiple holdings, reg.	VPC account number
<b>ATTP</b>	Account transfer to pledge account, reg.	
<b>ATFP</b>	Account transfer from pledge acct, reg.	
<b>ATTH</b>	Account transfer to pre-emption acct, reg.	
<b>ATOP</b>	Account transfer premium bond, register	VPC account number, issuer, security sort
<b>ATOD</b>	Account transfer premium lottery rights	VPC account number, issuer, security sort

#### **NOTE!**

The search key for ATOP consists of VPC account no, issuer, security sort.  
 Example: 000010113377,STATEN,2003:1  
 Security type, PO indicating premium bond, is not included in the search key.

### **Transfers between PM sub-market and AM sub-market**

<b>PTOL</b>	Account transfer settled holdings, register
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### **Cross border transactions**

<b>CBAT</b>	Cross border account transfer, register
<b>CBST</b>	Cross border settlement transaction, reg

### **SELL POWER OF ATTORNEY AND BROKER'S PLEDGE**

<b>ATSF</b>	Sell Power of Attorney, register	
<b>ABSF</b>	Sell Power of Attorney, revoke	Original transaction reference number
<b>ALSF</b>	Sell Power of Attorney, list	VPC account no, issuer, sec. type, sec. sort

<b>ALUS</b>	Sell Power of Attorney issued, list	Issuer, security type, security sort
<b>ALES</b>	Sell Power of Attorney received, list	Issuer, security type, security sort
<b>AFSF*</b>	Sell Power of Attorney, enquiry	Participant code, trans ref no
<b>ABKP</b>	Broker pledge, remove	Transaction reference no, settlement date
<b>ALKP</b>	Broker pledges, list	Settlement date

## **RECONCILIATION**

### **Reconciliation of settlement headroom**

<b>CSTL*</b>	Total payments AM/PM, overview	Settlement date, currency
<b><i>Level 1</i></b>		
<b>C1PR</b>	Liquidity forecast AM/PM (SB)	Currency, date (future date)
<b>A1LP</b>	Liquidity forecast AM, list (SB)	Currency, date (future date)
<b>C1LD</b>	Liquidity overview AM/PM (SB)	Currency
<b>C1LT</b>	Settlement headroom overview (SB)	Currency
<b>C1LU</b>	Payment details AM/PM, list (SB)	Currency, sub-market
<b>C1LK</b>	Liquidity settlement account AM/PM (SB)	Currency, sub-market
<b>A1LV</b>	Liquidity overview pending AM (SB)	Currency
<b><i>Level 2</i></b>		
<b>C2PR</b>	Liquidity forecast AM/PM (CM)	Currency, date (future date)
<b>A2LP</b>	Liquidity forecast AM, list (CM)	Currency, date (future date)
<b>C2LD</b>	Liquidity overview AM/PM (CM)	Currency
<b>C2LU</b>	Payment details AM/PM, list (CM)	Currency, sub-market
<b>C2LK</b>	Cash memorandum account AM/PM (CM)	Currency, sub-market
<b>A2VT</b>	Pending MM liquidity total AM (CM)	Currency
<b>A2BL</b>	Settlement headroom AM, overview (CM)	Currency
<b><i>Level 3</i></b>		
<b>A3LP</b>	Liquidity forecast AM, list (CID)	Currency, date (future date)
<b>C3LK</b>	Cash memorandum account AM/PM (CID/PMG)	Currency, sub-market
<b>A3VL</b>	Pending MM instructions AM (CID)	Currency
<b>A3BL</b>	Settlement headroom AM, overview (CID)	Currency

### **NOTE!**

In transaction C1LK, C2LK and C3LK you can select an event and receive further information.

### **NOTE!**

In transaction A1LV, A2VT, A1LP and A2LP you can state A as a third (A1LV, A2VT) respectively fourth (A1LP, A2LP) search key and the screen will show **all** CM/CID with payments. Ex A2LP: SEK,051124,,A

### **Reconciliation of instructions**

<b>ASBL</b>	Receipts/deliveries, search	
<b>ALKK*</b>	- member account, list	Settlement date
<b>ASKK*</b>	Sub-holding member account, summary	Settlement date, ISIN, event code, event id
<b>ALIK*</b>	Deposits sub-holding member acct, list	Settlement date, ISIN, event code, event id
<b>ALUK*</b>	Withdrwls sub-holding member acct, list	Settlement date, ISIN, event code, event id
<b>ALOV</b>	Account transfers, list	Settlement date
<b>ALAV</b>	MM pending receipts/deliveries, list	Currency
<b>ALNP</b>	Short positions AM, list	
<b>ARNP</b>	Future short positions, report	Settlement date (future date)

### **NOTE!**

In transaction ALKK if you would like to see **all** sub-holdings, including those with event code, you state A (= All) as a fourth search key.  
Example: 051012,,A

### **Deviations**

<b>ALAM</b>	Deviation per counterparty, list	
<b>ALAK*</b>	Member account with deviations, list	Settlement date
<b>ALEB</b>	Failed trades, list	

### **NOTE!**

In transaction ALAM if you would like to see all instructions with deviation, including those with event code, you state A (= All) as a third search key.

Example: 051012,,A

In transaction ALAK if you would like to see all subholdings with deviation, including those with event code, you state A (= All) as a fourth search key.

Example: 051012,,,A

In transaction ALEB, if you would like to see all instructions not posted, including those with event code, you state A (= All) as a third search key.

Example: 051012,,A

### **VPC account – holdings**

<b>CLIN</b>	Securities holdings in VPC account, list	VPC account number
<b>CLHT*</b>	Posted in Historical Journal, list	VPC account no, issuer, sec type, sec sort
<b>HIHL*</b>	Changes in holdings, list	
<b>CSIN</b>	Securities holdings, overview	
<b>CLDB</b>	Instructions in DJ, list	VPC account no, issuer, sec type, sec sort
<b>AFTI</b>	Available holdings, enquiry	
<b>AFIP</b>	Holdings premium bond, enquiry	VPC account number, issuer, security sort
<b>AFFP</b>	Premium bonds loans within series, enq.	VPC account no, issuer, sec sort, trade seq
<b>ALVA</b>	Show pending trades, list	VPC account number

### **Instruction enquiries**

<b>AFBE*</b>	Receipt, enquiry	Transaction reference number
<b>AFLE*</b>	Delivery, enquiry	Transaction reference number
<b>AFAI*</b>	Securities deposit, enquiry	Transaction reference number
<b>AFAU*</b>	Securities withdrawal, enquiry	Transaction reference number
<b>AFAP*</b>	Securities withdrawal premium bond, enq.	Transaction reference number
<b>AFOV*</b>	Account transfer, enquiry	Transaction reference number
<b>AFOP*</b>	Account transfer premium bond, enquiry	Transaction reference number
<b>AFEM*</b>	Issue registration, enquiry	Transaction reference number
<b>AFVX*</b>	FX, enquiry	Transaction reference number

## **Cancellations**

<b>AMBE</b>	Receipt reversal, register	Transaction reference number
<b>AMLE</b>	Delivery reversal, register	Transaction reference number
<b>AMAI</b>	Securities deposit reversal, register	Transaction reference number
<b>AMAU</b>	Securities withdrawal reversal, register	Transaction reference number
<b>AMOV</b>	Account transfer reversal, register	Transaction reference number

## **Cross border transaction enquiries**

<b>CSAT</b>	Cross border account transfers, search	
<b>CLAT</b>	Cross border account transfer, list	
<b>CFAT</b>	Cross border account transfer, enquiry	Transaction reference number
<b>CSST</b>	Cross border settl. Transaction, search	
<b>CLST</b>	Cross border settlement transaction, list	
<b>CFST</b>	Cross border settl. Transaction, enquiry	Net id
<b>CSTS</b>	Cross border transaction stop, search	
<b>CLTS</b>	Cross border transaction stop, list	
<b>CFTS</b>	Cross border transaction stop, enquiry	ISIN, number

## **Prematch**

<b>FTBE</b>	Prematch receipt, register	Transaction ref no for change/removal
<b>FTLE</b>	Prematch delivery, register	Transaction ref no for change/removal
<b>FFBE</b>	Prematch receipt, enquiry	Transaction reference number
<b>FFLE</b>	Prematch delivery, enquiry	Transaction reference number
<b>FFLO</b>	Change log, enquiry	Transaction reference number
<b>FSBL</b>	Current prematch trades, <i>search</i>	
<b>FLBE</b>	Current prematch receipts, list	Via FSBL
<b>FLLE</b>	Current prematch deliveries, list	Via FSBL
<b>FLMB</b>	Current counterparty receipts, list	Via FSBL
<b>FLML</b>	Current counterparty deliveries, list	Via FSBL
<b>FSUM</b>	Unmatched instr & allegments, <i>search</i>	
<b>FLUM</b>	Unmatched instructions & allegemts, list	Via FSUM
<b>FTMT</b>	Matching, register	Via FSUM
<b>FLMT</b>	Matching proposals, list	Via FSUM
<b>FLAV</b>	Rejected receipts/deliveries, list	
<b>FLAB</b>	Receipts/deliveries for removal, list	
<b>FLT M</b>	Tolerance matched receipts, list	Settlement date

<b>FLSH</b>	List receipts/deliveries per user	Settlement date
<b>FHBE</b>	History prematch receipts, list	Settlement date (as from)
<b>FHLE</b>	History prematch deliveries, list	Settlement date (as from)
<b>FHMB</b>	History counterparty receipts, list	Settlement date (as from), counterparty id
<b>FHML</b>	History counterparty deliveries, list	Settlement date (as from), counterparty id

## **Reports**

<b>ZLVI*</b>	Automatically generated reports	
<b>KPBU</b>	Account product receipt, update	VPC account number, product

## **DISBURSEMENT**

### **Dividend**

<b>UFFA</b>	Coming dividend record dates, enquiry	
<b>UFEO*</b>	Dividends issuer,	Issuer
<b>UFOR*</b>	Dividend order Swedish shares/CPD, enq.	Issuer, order no, type of dividend
<b>UBFO*</b>	Dividend order non Sw shares/SDR, enq.	Issuer, order no, type of dividend
<b>UBFS*</b>	Tax treaty SDB/non Sw shares, enquiry	Issuer, order no, type of dividend

### **Interest**

<b>URFA</b>	Record dates interest orders, enquiry	
<b>URFE*</b>	Interest orders issuer, enquiry	Issuer
<b>URFO*</b>	Interest order, enquiry	Issuer, loan id, interest order id

### **Premium bonds**

<b>RVLF</b>	List lottery draw order, enquiry	Issuer, security type, security sort
<b>RVOF</b>	Lottery draw order, enquiry	Issuer, security type, security sort, draw date
<b>EPFD</b>	Lottery right premium loan, enquiry	Issuer, draw date
<b>VFDP</b>	Lottery draw rights, enquiry	Issuer
<b>EFID</b>	Allocated lottery draw rights, enquiry	Nominee account number, issuer, record date

## **Amortisation**

<b>LAFK</b>	Coming record dates AM, enquiry	
<b>LALA*</b>	Amortisation order, list	Issuer
<b>Lafa*</b>	Amortisation order, enquiry	Issuer, loan id, record date

## **Redemption**

<b>ELFI*</b>	Redemption, list	
<b>EUFO*</b>	Redemption order, enquiry	Issuer, program no, red. Date, type of order

## **Executed disbursements**

<b>UBOL</b>	Payment instructions, list	Payment date,, type of payment
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## **Enquiry on payment**

<b>UFB1*</b>	Payments register, enquiry	Search keys are given on the screen
<b>UFB2*</b>	Payments register, enquiry – screen 1	
<b>UFB4*</b>	Payments register, enquiry – screen 2	
<b>UFB7*</b>	Payments register, enquiry – screen 3	
<b>UFB8</b>	Payment tax distribution, enquiry	
<b>HUBL</b>	Payments, list	
<b>UVAM</b>	Remove block on payments	VPC account number

## **Rebooking**

<b>UVRO</b>	Rebooking, register	Commence in UFB1
<b>UVGO</b>	Rebooking, approve	Transfer bank account

## **PM SUB-MARKET**

### **NOTE!**

Search keys given in this guide are mandatory keys.

On quite a few of the transactions listed below, optional keys can be added to limit, extend or specify the received information

Press **F1** in the 'key' field, on the transaction screen, to obtain information on both mandatory and optional keys for the transaction in question.

Transactions marked with \* in this guide, contains historical information.

### **Enquiries concerning AO, CM, SB**

<b>KDEL</b>	Participant identities, list	
<b>KKIL</b>	Account operators, list	
<b>KCML</b>	Clearing members, list	
<b>KLBL</b>	Settlement banks, list	
<b>KKKL</b>	Offices, list	AO
<b>KDEF</b>	Participant, enquiry	Participant identity
<b>KKIF</b>	Account operator, enquiry	
<b>KCMF</b>	Clearing member, enquiry	
<b>KLBF</b>	Settlement bank, enquiry	
<b>KKKF</b>	Office, enquiry	Office, AO
<b>KKPF</b>	Contact persons, enquiry	Participant identity
<b>KBIL</b>	BIC code, list	Participant identity

### **Register maintenance AO**

<b>KKPU</b>	Contact persons, register maintenance	
<b>KKKU</b>	Office, register maintenance	Office

## **ACCOUNT MAINTENANCE**

### **Information about investor's VPC accounts**

<b>KKOL</b>	Owner accounts, list	Organisation id number/personal id number
<b>KKPL</b>	AO/Office for VPC owner's accounts	Organisation id number/personal id number
<b>KNAL</b>	Owner registration numbers, list (only fictitious owner registration nos.)	Name
<b>KTIL</b>	VPC accounts for specific TIN, list	Taxpayer Identification Number (TIN)
<b>KKAF</b>	Account agreement, enquiry	Contract type

### **Enquiry on VPC account**

<b>KKOF</b>	Owner account, enquiry	VPC account number
<b>KKO2</b>	Owner account, enquiry (page 2)	VPC account number
<b>KKLF</b>	Trading book account, enquiry	VPC account number
<b>KKFF</b>	Nominee account, enquiry	VPC account number
<b>KKF2</b>	Nominee account, enquiry (page 2)	VPC account number
<b>KHIF*</b>	VPC account, history	VPC account number, date
<b>KHCF*</b>	Income account/account information, history	VPC account number, date
<b>KRML</b>	Repo-marked VPC accounts, list	

### **PM account group**

<b>KPMU</b>	PM account group, register	PMG, CM
<b>KPMF</b>	PM account group, enquiry	PMG
<b>KBPU</b>	PM account group, change	VPC account number
<b>KPML</b>	PM account group, list	
<b>KPKL</b>	PM accounts in PM account group, list	PMG

### **Open PM accounts**

<b>PKJN</b>	Owner account PM legal entity, register	Organisation id number
<b>PKON</b>	Owner account PM private individual, reg.	Personal id number
<b>PKLN</b>	Trading book account PM, register	Organisation id number
<b>PKFN</b>	Nominee account PM, register	Organisation id number
<b>PKCU</b>	Account information, register	VPC account number

## **Change PM accounts**

<b>KKJU</b>	Owner account legal entity, change	VPC account number
<b>KKOU</b>	Owner account private individual, change	VPC account number
<b>KKLU</b>	Trading book account, change	VPC account number
<b>KKFU</b>	Nominee account, change	VPC account number

## **Close PM accounts**

<b>KKOB</b>	Owner account, close	VPC account number
<b>KKLB</b>	Trading book account, close	VPC account number
<b>KKFB</b>	Nominee account, close	VPC account number

## **Guardian**

<b>KFMU</b>	Guardian, register maintenance	VPC account number
<b>KFMF</b>	Guardian, enquiry	VPC account number
<b>KHMF*</b>	Guardian, history	VPC account number, date

## **Representative**

<b>KOMU</b>	Representative, register maintenance	VPC account number
<b>KOMF</b>	Representative, enquiry	VPC account number
<b>KHOF*</b>	Representative, history	VPC account number, date

## **Tax handling**

<b>KADU</b>	Tax treaty/address/TIN, maintenance	VPC account no, org id no/personal id no
<b>KADF</b>	Tax treaty/address/TIN, enquiry	VPC account no, org id no/personal id no
<b>KHDF*</b>	Tax <input type="checkbox"/> dress, history	VPC acct no, date, org id no / personal id no
<b>KHTL*</b>	TIN/LOB information, history	VPC account number
<b>KSPU</b>	Swedish withholding tax profile, register	Nominee account number
<b>KSPF</b>	Swedish withholding tax profile, enquiry	Nominee account number
<b>UTRS</b>	Payments available for tax reporting, overview	Nominee identity

## **Country-/tax codes**

<b>KLKL</b>	Country codes, list	
<b>KSSL</b>	Country/tax codes, list	Country code
<b>KLKF</b>	Country code, enquiry	Country code
<b>KSSF</b>	Tax code, enquiry	Country code, tax code

## **Adjustment of preliminary tax**

<b>KJMU</b>	Adjustment of prel. tax, register	VPC account number, personal id number
<b>KJMF*</b>	Adjustment of prel. tax, enquiry	VPC account number, personal id number

## **Information text**

<b>KTXU</b>	Info text VPC account, register	VPC account number
<b>KTXF*</b>	Info text VPC account, enquiry	VPC account number

## **Information to account holder**

<b>KRUT*</b>	Register extract, order	Org id no/personal id no, type of extract (see PF1)
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## **PM account - holdings**

<b>CLIN</b>	Securities holdings in VPC account, list	VPC account number
<b>CLDB</b>	Instructions in Daily Journal, list	VPC account no, issuer, sec type, sec sort
<b>CLHT*</b>	Posted in Historical Journal, list	VPC account no, issuer, sec type, sec sort
<b>CSIN</b>	Securities holdings, overview	
<b>HIHL*</b>	Changes in holdings, list	

## **PLEDGE ACCOUNT**

<b>KPSN</b>	Primary pledging, register	VPC account number
<b>KPHN</b>	Pledging/repledging, register	VPC account number
<b>KPSU</b>	Pledging/repledging, change	VPC account number, pledge order no
<b>KPHU</b>	Change of pledgee	VPC account number, pledge order no
<b>KPBN</b>	Change of pledger	VCP acct no (old), VPC acct no (new)
<b>KPSB</b>	Pledgee, deregister	VPC account number, pledge order no
<b>KPSL</b>	Pledges, list	VPC account number

**KPSF** Pledging, enquiry  
**KHPF\*** Pledging, history

VPC account number, pledge order no  
VPC account no, date, pledge order no

### **Intraday credit**

<b>KSKR</b>	Collateral account, register	
<b>KMKR</b>	Maximum credit, register	Currency
<b>KCBL</b>	Central bank credit account, list	Currency
<b>KPVL</b>	Pledge evaluation, list	Centralbank id
<b>KEGL</b>	Unrecognised issuers, list	Centralbank id
<b>KSKL</b>	Pledge value per collateral account	Currency
<b>KVPL</b>	Pledge value per security, list	Currency

### **SECURITIES INFORMATION**

<b>VFEM</b>	Issuer, enquiry	Issuer
<b>VFGN</b>	Issuer's old name(s), enquiry	Issuer
<b>VFEV</b>	Issuer's securities , enquiry	Issuer
<b>VFRB</b>	Interest-bearing loan, enquiry	Issuer
<b>VFDI</b>	Discount note, enquiry	Issuer
<b>VFIR</b>	Non-VPC affiliated security, enquiry	Issuer
<b>VFHT</b>	Permitted securities in PM accounts	Issuer
<b>VFVP</b>	ISIN, enquiry	ISIN
<b>VLRM</b>	Repo-marked securities, list	

### **CORPORATE ACTIONS**

<b>ELEP</b>	Issue programme per issuer, list	Issuer
<b>EELD</b>	Participating issuing agents, list	Issuer, programme no
<b>EEFV</b>	Securities included in issue programme, enq.	Issuer, programme no
<b>EEFP</b>	Issue programme total, enquiry	Issuer, programme no
<b>EEFE</b>	Issue programme Issuing Agent, enquiry	Issuer, programme no
<b>EUFP</b>	Issue programme without amount, enquiry	Issuer, programme no

## **SETTLEMENT HEADROOM**

### **Settlement headroom, register**

<b>C1UD</b>	Request for direct withdrawal, register	Currency, sub-market
<b>C1UA</b>	Request withdrawal after settlement, reg.	Currency, sub-market
<b>C1OV</b>	Request for transfer, register	Currency
<b>C1OS</b>	Standing transfer PM AM, register	Currency
<b>C1AK</b>	Request repayment of credit, register	Currency
<b>C2LM</b>	CM limit details, register	CM, currency, sub-market
<b>C2AU</b>	Change settlement headroom CM, reg.	CM, currency, sub-market
<b>C3LM</b>	CID/PMG limit details, register	PMG, currency, sub-market
<b>C3AU</b>	Change settl. headroom CID/PMG, reg.	PMG, currency, sub-market

### **Limit, enquiry**

<b>C2LF</b>	CM limit details, enquiry	CM, currency, sub-market
<b>C3LF</b>	CID/PMG limit details, enquiry	PMG, currency, sub-market
<b>C2LL</b>	Limits CM, list	Currency, sub-market
<b>C3LL</b>	Limits CID/PMG, list	Currency, sub-market

### **Basic settlement headroom, enquiry**

<b>K1GL</b>	Base settlement headroom SB, list	Currency, sub-market
<b>K2GL</b>	Base settlement headroom CM, list	Currency, sub-market

## **SETTLEMENT INSTRUCTIONS**

### **Register instructions**

<b>PTKO</b>	Purchase PM, register
<b>PTSA</b>	Sale PM, register

## **RTGS transactions**

<b>BTKO</b>	Purchase RTGS, register	
<b>BTSA</b>	Sale RTGS, register	
<b>BFKO*</b>	Purchase RTGS, enquiry	Transaction reference number
<b>BFSA*</b>	Sale RTGS, enquiry	Transaction reference number
<b>BLRM*</b>	Registered RTGS transactions, list	
<b>BMKO</b>	Purchase RTGS reversal, register	Transaction reference number
<b>BMSA</b>	Sale RTGS reversal, register	Transaction reference number

## **Account transfer free of payment within AO**

<b>PTOV</b>	Account transfer PM, register	
<b>PTTP</b>	Account transfer to PM pledge account, reg.	
<b>PTFP</b>	Account transfer from PM pledge acct, reg.	

## **Transfers between PM sub-market and AM sub-market**

<b>PTOL</b>	Account transfer settled holdings, register	
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## **Approve preliminary registration**

<b>PTGI</b>	Approve deposit for settlement	Transaction reference number
<b>PTGU</b>	Approve withdrawal for settlement	Transaction reference number

## RECONCILIATION

### Reconciliation of settlement headroom

<b>CSTL*</b>	Total payments AM/PM, overview	Settlement date, currency
<b>CLLK*</b>	Payment per office PM, list	Settlement date, currency
<b>PLLL*</b>	Payments per account info, list	Office, acct information, settl. date, currency
<b>PLLG</b>	Payments per PM account group, list	Office, PMG, settlement date, currency
<b>PLRK*</b>	Instructions per holder/account, list	Office
<b>PLSL*</b>	Payment per office/account holder, list	Office, settlement date, currency
<b>PLIL*</b>	Payment per account holder/account, list	Office, org id no/personal id no, settl. date, currency
<b>PLKL*</b>	Payments per PM account, list	VPC account number, currency
<b>Level 1</b>		
<b>C1PR</b>	Liquidity forecast AM/PM (SB)	Currency, date (future date)
<b>P1LP</b>	Liquidity forecast PM, list (SB)	Currency, date (future date)
<b>C1LD</b>	Liquidity overview AM/PM (SB)	Currency
<b>C1LT</b>	Settlement headroom overview (SB)	Currency
<b>C1LU</b>	Payment details AM/PM, list (SB)	Currency, sub-market
<b>C1LK</b>	Liquidity settlement account AM/PM (SB)	Currency, sub-market
<b>P1LV</b>	Liquidity overview pending PM (SB)	Currency
<b>Level 2</b>		
<b>C2PR</b>	Liquidity forecast AM/PM (CM)	Currency, date (future date)
<b>P2LP</b>	Liquidity forecast PM, list (CM)	Currency, date (future date)
<b>C2LD</b>	Liquidity overview AM/PM (CM)	Currency
<b>C2LU</b>	Payment details AM/PM, list (CM)	Currency, sub-market
<b>C2LK</b>	Cash memorandum account AM/PM (CM)	Currency, sub-market
<b>P2VT</b>	Pending MM liquidity total PM (CM)	Currency
<b>P2BL</b>	Settlement headroom PM, overview (CM)	Currency
<b>Level 3</b>		
<b>C3LK</b>	Cash memorandum account AM/PM (CID/PMG)	Currency, sub-market, PMG
<b>P3VL</b>	Pending MM instructions PM (PMG)	Currency, PMG
<b>P3BL</b>	Settlement headroom PM, overview (PMG)	Currency, PMG

#### **NOTE!**

In transaction C1LK, C2LK and C3LK you can select an event and receive further information.

### **NOTE!**

In transaction P1LV, P2VT, P1LP and P2LP you can state A as a third (P1LV, P2VT) respectively fourth (P1LP, P2LP) search key and the screen will show **all** CM/PMG with payments. Ex P2LP: SEK,051124,,A

### **Reconciliation of instructions**

<b>PLRM*</b>	Instructions per counterparty, list	Office
<b>PLRA</b>	Instructions per count.prt trade date, list	Office, trade date
<b>PLTM</b>	Tolerance matched buy instructions, list	Office
<b>PLOV</b>	Account transfers PM accounts, list	Office, settlement date

### **Deviations**

<b>PLAE</b>	Deviations per counterparty/own account	Office
<b>PLNP</b>	Short positions settlement date, list	Office
<b>PLSX</b>	Instructions to be SX, list	Office, settlement date, calculation
<b>PLEG</b>	Instructions not yet approved, list	Office
<b>PRAP</b>	Reconciliation report PM sub-market	
<b>PLEB</b>	Failed trades, list	Office

### **PM account - holdings**

<b>CLIN</b>	Securities holdings in VPC account, list	VPC account number
<b>CLHT*</b>	Posted in Historical Journal, list	VPC account no, issuer, sec type, sec sort
<b>HIHL*</b>	Changes in holdings, list	
<b>CSIN</b>	Securities holdings, overview	
<b>CLDB</b>	Instructions in Daily Journal, list	VPC account no, issuer, sec type, sec sort

### **Instruction enquiries**

<b>PFSA*</b>	Sale, enquiry	Transaction reference number
<b>PFKO*</b>	Purchase, enquiry	Transaction reference number
<b>PFOV*</b>	Account transfer PM, enquiry	Transaction reference number

## **Cancellations**

<b>PMKO</b>	Purchase reversal, register	Transaction reference number
<b>PMSA</b>	Sale reversal, register	Transaction reference number
<b>PMOV</b>	Account transfer PM reversal, register	Transaction reference number

## **Reports**

**ZLVI\*** Automatically generated reports

## **INTEREST AND REDEMPTION**

<b>PLEM</b>	I/R issuer, list	Currency, settlement date
<b>PLLE</b>	I/R liquidity details issuer, list	Currency, settlement date, issuer
<b>Level 1</b>		
<b>P1RI</b>	I/R liquidity details PM (SB)	Currency, settlement date
<b>Level 2</b>		
<b>P2RI</b>	I/R liquidity details PM (CM)	Currency, settlement date
<b>P2VP</b>	I/R liquidity details per security (CM)	Currency, settlement date
<b>Level 3</b>		
<b>P3RI</b>	I/R liquidity details PM (PMG)	Currency, settlement date, PMG
<b>P3VP</b>	I/R liquidity details per security (PMG)	Currency, settlement date, PMG
<b>Level 4</b>		
<b>P4VP</b>	I/R liquidity details per security (PM acct)	Currency, settlement date, VPC account no

## **Interest**

<b>URFA</b>	Record dates interest orders, enquiry	
<b>URFE*</b>	Interest orders issuer, enquiry	Issuer
<b>URFO*</b>	Interest order, enquiry	Issuer, loan id, interest order id

## **Redemption**

<b>ELFI*</b>	Redemption, list	
<b>EUFO*</b>	Redemption order, enquiry	Issuer, program no, red date, type of order
<b>ELBI</b>	Gross redemption today, list	Currency



## NOMINEE REPORTING

### **NOTE!**

The search key for a number of transaction codes can be alternated in order to limit, increase or specify the information sought.

Press **F1** in the 'key' field, on the transaction screen, for information about the search key.

<b>TRANSACTION CODE / DESCRIPTION</b>	<b>KEY</b>
<b>KKPF</b> Contact persons, enquiry	Participant identity
<b>KFVF</b> Nominee, enquiry	Nominee identity
<b>KFVL</b> Nominee, list	
<b>KKOL</b> VPC accounts, list	Organisation id number/personal id number
<b>KKFF</b> Nominee account, enquiry	VPC account number
<b>KKFN</b> Nominee account, register	Organisation id number
<b>KKFU</b> Nominee account, change	VPC account number
<b>KKFB</b> Nominee account, close	VPC account number
<b>KKCU</b> Income account(s), register	VPC account number
<b>CLIN</b> Securities holdings in VPC acct, list	VPC account number
<b>ZLVI</b> Automatically generated reports	
<b>ZLAN</b> Registers received, list	
<b>ZLFE</b> Error-marked registers, list	

### **REPORTING FOR NOMINEE LIST OF OWNERS**

<b>IFVD</b> Record dates, list	Nominee identity
<b>IFVE</b> Discrepancy issuer	Nominee identity, record date
<b>IFVF</b> Discrepancy nominee accts per issuer	Nominee identity, record date, issuer
<b>IFVU</b> Discrepancy sec type & sort in nominee acct	Record date, issuer, nominee account
<b>IFVI</b> Reported owner holdings, enquiry	Record date, ISIN, nominee account
<b>IFIA</b> New/change/removal nom registered owners	Record date, issuer, nominee account
<b>IFDL</b> Discrepancies per issuer	Nominee identity, record date
<b>IFBT</b> Order removal nominee reported material	Nominee identity, record date
<b>IFBE</b> Order reconciliation list	Nominee identity, record date

## **REGISTRATION OF VOTING RIGHTS**

<b>IRAL</b>	Record dates voting routine, list	Nominee identity, record date for general meeting register of shareholders
<b>IRPU</b>	Voting rights pers/org id number, register	Nominee identity, issuer, owner identity
<b>IRFU</b>	Voting rights fictitious number, register	Nominee identity, issuer, owner identity
<b>IRPB</b>	Voting rights pers/org id number, removal	Nominee identity, issuer, owner identity
<b>IRFB</b>	Voting rights fictitious number, removal	Nominee identity, issuer, owner identity
<b>IRAF</b>	Reconciliation voting rights, list	Nominee identity, issuer
<b>IRIL</b>	Reported voting rights, list	Nominee identity, issuer
<b>IRBU</b>	Voting rights, order reconciliation report	Nominee identity, issuer
<b>VFBD</b>	General meeting of shareholders, enquiry	Issuer

## **REPORTING OF FISCAL DOMICILE, NON-SWEDISH DIVIDEND**

<b>UBFS</b>	Tax treaty SDB/non-Swedish security, enq	Issuer, order number, type
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## **REPORTING FOR EXCHANGE**

<b>ULUV</b>	Instructions other currencies, list	Nominee identity
<b>ULFV</b>	Payments nominee accounts, list	Via ULUV
<b>URFV</b>	Amount to exchange	Via ULUV

## **LISTS FOR RECONCILIATION/CONFIRMATION**

### **Reporting for nominee list of owners**

<b>IFAL931</b>	Discrepancy list nominee report per account
<b>IFAL932</b>	Discrepancy list nominee report total
<b>IFAL933</b>	Reconciliation list nominee report per account
<b>IFAL934</b>	Reconciliation list nominee report total
<b>IFIL451</b>	Signal list regarding incorrect information in the nominee registers
<b>IFIL407</b>	List of owners – omitted owners

### **Registration of voting rights**

**IFRL041** Reported voting rights, reconciliation report  
**IFSL1411** Voting rights registration – rejected voting rights

### **Reporting of addresses**

**IFAL0936** Numbers of owners reported with regard to addresses

### **Reporting of fiscal domicile**

**UDAL541** Distribution non-Swedish tax

### **Reporting of exchange**

**EUBL072** Nominee report payments

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