



## Participant Form

**Participant form for new/changed Account Operator, Nominee, Issuer Agent, Settlement Bank, Clearing Member and CID.**

Participant id <sup>1</sup>	Full name of participant (maximum 35 characters)	SSE id <sup>2</sup>
Organisation number	E-mail address for info	
Address	Postal code	Town/City
Country	Fax number	

Environment <sup>3</sup>
<input type="checkbox"/> VPC Test Environment (Acpt) <input type="checkbox"/> VPC Production Environment (Prod)

Start date
<input type="checkbox"/> First Trade Date..... <input type="checkbox"/> First Settlement Date.....

Contact persons		
Name	Telephone number	E-mail

Add new Participant to current "Multi-user" Access Group <sup>4</sup>
<input type="checkbox"/> Yes

<sup>1</sup> Filled in by Euroclear Sweden in case of a new Participant.  
<sup>2</sup> The SSE id is the three letter shortname on NASDAQ OMX Stockholm (if applicable).  
<sup>3</sup> Please complete a copy for each environment in the case you intend to use both.  
<sup>4</sup> State Yes if you use want to add this new Participant to your current "Multi-user" Access Group.



<b>Account Operator</b>
<input type="checkbox"/> On own behalf
<input type="checkbox"/> On own and other's behalf

<b>Nominee</b>
<input type="checkbox"/> Swedish
<input type="checkbox"/> Non-Swedish
AO responsible for the reporting of register of owners.....

<b>Issuer Agent</b>
<input type="checkbox"/> AM sub-market
<input type="checkbox"/> PM sub-market

<b>Clearing Member/Settlement Bank</b>				
<b>Sub-market/Currency</b>	<b>AM SEK</b>	<b>AM EUR</b>	<b>PM SEK***</b>	<b>PM EUR***</b>
<b>Settlement Bank*</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>Clearing Member**</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>CID</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

<b>* For a Settlement Bank in AM EUR and/or PM EUR, specify one of the following</b>
<input type="checkbox"/> SB holds own account in Target2
<input type="checkbox"/> SB uses correspondent bank with account in Target2
Correspondent bank:.....
BIC of correspondent bank:.....

<b>** For a Clearing Member, specify the Settlement Bank to be used</b>
<input type="checkbox"/> Settlement Bank to be used for SEK.....
<input type="checkbox"/> Settlement Bank to be used for EUR.....

<b>*** For a Clearing Member/CID in sub-market PM, specify at least one office<sup>5</sup></b>			
1.		4.	
2.		5.	
3.		6.	

<sup>5</sup> Office identification consists of numbers and/or letters with a maximum of 8 characters. It has to be defined in the authorization system and then registered by the participant in the VPC system via transaction KKKU.



<b>Counterparty BIC – Participant</b>	
<b>BIC – Settlement Bank</b>	

<b>Account details<sup>6</sup></b>	
<b>Currency</b>	<b>Income account/Account information<sup>7</sup></b>
.....	.....
.....	.....
<b>Transfer bank account<sup>8</sup></b>	<b>Bank giro</b>
.....	.....
.....	.....
<b>VPC investigation account<sup>9</sup></b>	
.....	

**Remember!**

- **Update contact persons using the transaction KKPU**
- **Open accounts using the transaction KKKU**
- **For changes of income account/account information or for the addition of further accounts, use the transaction KKCUC/PKCU**
- **If any of the accounts specified above are changed, Euroclear Sweden must be informed.**

<b>Send by post to</b>
Euroclear Sweden AB Settlement & Asset Services Box 191 SE – 101 23 Stockholm Sweden

\_\_\_\_\_ *Date*

\_\_\_\_\_ *Signature by authorised person(-s)*

\_\_\_\_\_ *Name(-s)*

<sup>6</sup> The same bank account can be used in all functionalities if it is an SEK account.

<sup>7</sup> Bank accounts for broker and acquisition pledge (interest and dividend on holdings in broker or acquisition pledge accounts). Maximum one account/currency (one bank account in main currency, at present SEK, must be specified). The currency specified must be approved for payment.

**In the test environment it is possible to leave the field blank and Euroclear Sweden will then create fictitious accounts.**

<sup>8</sup> Transfer bank account (account for rebooking of incorrect payment). At least one account must be specified.

<sup>9</sup> Bank account for investigation (SEK account only). The account is used for incorrectly disbursed dividend and interest payments that are to be investigated.